

Algebraic area* of lattice random walks and exclusion statistics

Joint work with Stéphane Ouvry (LPTMS) and Alexios Polychronakos (CCNY)

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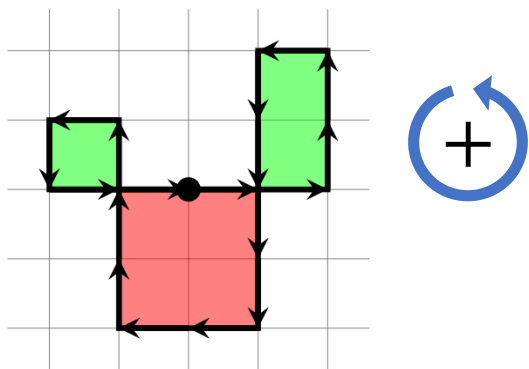


find more here 

* a.k.a signed area

** Laboratoire de Physique Théorique et Modèles Statistiques

Algebraic area A of closed random walks on a square lattice

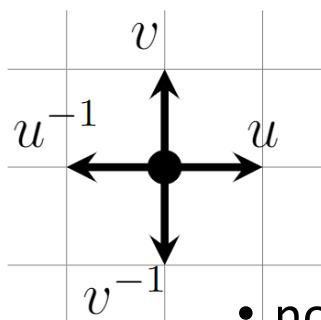


$$A = 1 + 2 - 4 = -1$$

Question: a formula for the number $C_n(A)$ of closed n -step lattice walks that enclose an algebraic area A ?

e.g. square lattice walks:

$$C_2(0) = 4, \quad C_4(0) = 28, \quad C_4(1) = C_4(-1) = 4$$



square lattice walks

$$v^{-1} u^{-1} v u = Q^1$$

- non commutative relation $v u = Q u v$
- generating function for n -step closed walks

$$(u + u^{-1} + v + v^{-1})^n = \sum_A C_n(A) Q^A + \dots$$

$$\mathbf{Tr}(v^n u^m) = \delta_{n,0} \delta_{m,0}$$

$$\Rightarrow \mathbf{Tr}(u + u^{-1} + v + v^{-1})^n = \sum_A C_n(A) Q^A$$

$$\text{e.g. } \mathbf{Tr}(u + u^{-1} + v + v^{-1})^4 = 28 + 4Q + 4Q^{-1}$$

physics

Hofstadter model: a charged particle hopping on a square lattice in a constant magnetic field

- Hamiltonian $H = u + u^{-1} + v + v^{-1}$
- $Q = e^{i\gamma}$; $\gamma = 2\pi\phi/\phi_0$: magnetic flux per plaquette
rational flux $\phi/\phi_0 = p/q$ with p, q coprime
- $\sum_A C_n(A) Q^A = \mathbf{Tr} H^n$

aim: compute $\mathbf{Tr} H^n$

rational flux, i.e., $Q = e^{2i\pi p/q}$ with p, q coprime

$v u = Q u v$: noncommutative 2-tori algebra,
 quantum torus algebra, Weyl commutation relation,
 Weyl braiding relation, Q -commutativity, etc.

$$u = e^{ik_x} \begin{pmatrix} Q & 0 & 0 & \cdots & 0 & 0 \\ 0 & Q^2 & 0 & \cdots & 0 & 0 \\ 0 & 0 & Q^3 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & Q^{q-1} & 0 \\ 0 & 0 & 0 & \cdots & 0 & 1 \end{pmatrix}, \quad v = e^{ik_y} \begin{pmatrix} 0 & 1 & 0 & \cdots & 0 & 0 \\ 0 & 0 & 1 & \cdots & 0 & 0 \\ 0 & 0 & 0 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & 0 & 1 \\ 1 & 0 & 0 & \cdots & 0 & 0 \end{pmatrix}$$

quantum trace

$$\text{Tr } H^n = \frac{1}{q} \int_0^{2\pi} \int_0^{2\pi} \frac{dk_x}{2\pi} \frac{dk_y}{2\pi} \text{tr } H^n$$

$u \rightarrow -uv, v \rightarrow v$
 $k_x = k_y = 0, \mathbf{n} < q$
 reduces to

usual trace

$$\text{Tr } H^n = \frac{1}{q} \text{tr } H_2^n$$

$$H_2 = \begin{pmatrix} 0 & f_1 & 0 & \cdots & 0 & 0 \\ g_1 & 0 & f_2 & \cdots & 0 & 0 \\ 0 & g_2 & 0 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & 0 & f_{q-1} \\ 0 & 0 & 0 & \cdots & g_{q-1} & 0 \end{pmatrix}$$

$$f_k = 1 - Q^k, \quad g_k = 1 - Q^{-k}$$

aim: compute $\text{tr } H_2^n$

- approach 1: secular determinant $\det(I - z H_2)$ and its relation to *exclusion statistics*

$$\ln \det(I - z H_2) = \text{tr } \ln(I - z H_2) = - \sum_{\mathbf{n}=1}^{\infty} \frac{z^{\mathbf{n}}}{\mathbf{n}} \text{tr } H_2^{\mathbf{n}}$$

- approach 2: direct computation (combinatorics of periodic Dyck paths*)

$$\text{tr } H_2^{\mathbf{n}} = \sum_{k_1=1}^q \sum_{k_2=1}^q \cdots \sum_{k_{\mathbf{n}}=1}^q h_{k_1 k_2} h_{k_2 k_3} \cdots h_{k_{\mathbf{n}} k_1}$$

* periodic Dyck path = Dyck bridge

Approach 1: via secular determinant $\det(I - z H_2)$

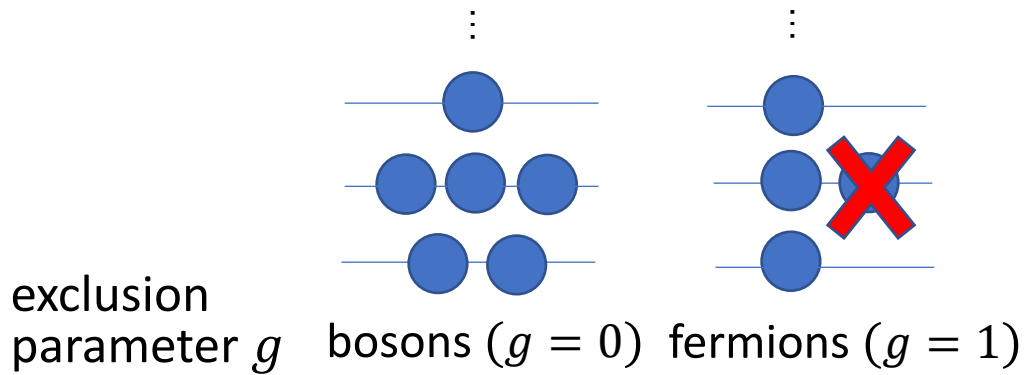
secular determinant $\det(I - z H_2) = \sum_{n=0}^{\lfloor q/2 \rfloor} (-1)^n Z_n z^{2n}$

$$H_2 = \begin{pmatrix} 0 & f_1 & 0 & \cdots & 0 & 0 \\ g_1 & 0 & f_2 & \cdots & 0 & 0 \\ 0 & g_2 & 0 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & 0 & f_{q-1} \\ 0 & 0 & 0 & \cdots & g_{q-1} & 0 \end{pmatrix}$$

Kreft coefficient $Z_n = \sum_{k_1=1}^{q-2n+1} \sum_{k_2=1}^{k_1} \cdots \sum_{k_{n-1}=1}^{k_{n-2}} s_{k_1+2n-2} s_{k_2+2n-4} \cdots s_{k_{n-1}+2} s_{k_n}$, $s_k := g_k f_k = 4 \sin^2(k\pi p/q)$
 [Kreft 1993] “+2 shifts”

e.g. for $q = 7$, $Z_3 = s_1 s_3 s_5 + s_1 s_3 s_6 + s_1 s_4 s_6 + s_2 s_4 s_6$

interpretation in statistical mechanics



Z_n : partition function for n particles occupying $q - 1$ quantum states. These particles obey $g = 2$ **exclusion statistics** (no two particles can occupy adjacent quantum states)
 stronger exclusion than fermions!

closed random walks on a square lattice



exclusion statistics with exclusion parameter $g = 2$

Using techniques from statistical mechanics to compute $\text{Tr } H_2^n$

Approach 1: via secular determinant $\det(I - z H_2)$

Kreft coefficient $Z_n = \sum_{k_1=1}^{q-2n+1} \sum_{k_2=1}^{k_1} \cdots \sum_{k_n=1}^{k_{n-1}} s_{k_1+2n-2} s_{k_2+2n-4} \cdots s_{k_{n-1}+2} s_{k_n}$, $s_k := g_k f_k = 4 \sin^2(k\pi p/q)$

introduce b_n via $\log\left(\sum_{n=0}^{\lfloor q/2 \rfloor} Z_n x^n\right) = \sum_{n=1}^{\infty} b_n x^n$, $\text{tr } H_2^{n=2n} = 2n(-1)^{n+1} b_n$
 b_n : cluster coefficient

$$\text{tr } H_2^{n=2n} = 2n \sum_{\substack{l_1, l_2, \dots, l_j \\ \text{composition of } n}} c_2(l_1, l_2, \dots, l_j) \sum_{k=1}^{q-j} s_k^{l_1} s_{k+1}^{l_2} \cdots s_{k+j-1}^{l_j}$$

composition is an ordered partition

Example

Four compositions of $n=3$:

$(3), (2,1), (1,2), (1,1,1)$

l_1	l_2	l_3
3		
2	1	
1	2	
1	1	1

combinatorial factor

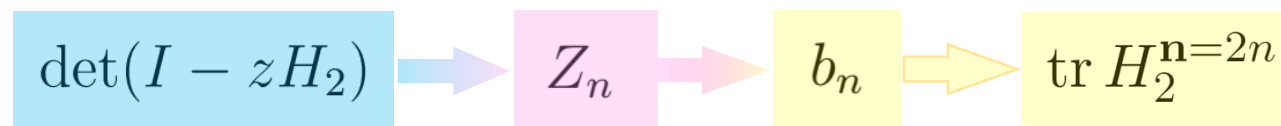
$$c_2(l_1, l_2, \dots, l_j) = \frac{1}{l_1} \prod_{i=2}^j \binom{l_{i-1} + l_i - 1}{l_i}$$

interpretation in combinatorics?

$$C_{\mathbf{n}}(A) = 2n \sum_{\substack{l_1, l_2, \dots, l_j \\ \text{composition of } n}} c_2(l_1, l_2, \dots, l_j) \sum_{k_3=-l_3}^{l_3} \sum_{k_4=-l_4}^{l_4} \cdots \sum_{k_j=-l_j}^{l_j} \binom{l_1 + A + \sum_{i=3}^j (i-2)k_i}{l_1 + A + \sum_{i=3}^j (i-2)k_i} \binom{l_2 - A - \sum_{i=3}^j (i-1)k_i}{l_2 - A - \sum_{i=3}^j (i-1)k_i} \prod_{i=3}^j \binom{2l_i}{l_i + k_i}$$

[Ouvry, Wu 2019]

	$n = 2$	4	6	8	10
$A = 0$	4	28	232	2156	21944
± 1		8	144	2016	26320
± 2			24	616	11080
± 3				96	3120
± 4				16	840
± 5					160
± 6					40
counting	4	36	400	4900	63504



\rightarrow generalize to the “+g shifts” (g -exclusion)

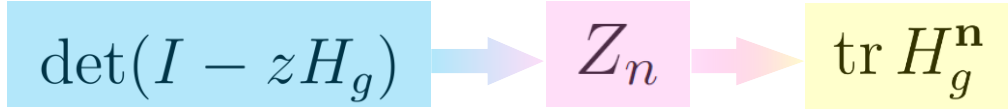
$C_{\mathbf{n}}(A)$ up to $\mathbf{n} = 10$ for square lattice walks of length \mathbf{n} .

g -exclusion statistics

[Ouvry, Polychronakos 2019]

$$H_2 = \begin{pmatrix} 0 & f_1 & 0 & \cdots & 0 & 0 \\ g_1 & 0 & f_2 & \cdots & 0 & 0 \\ 0 & g_2 & 0 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & 0 & f_{q-1} \\ 0 & 0 & 0 & \cdots & g_{q-1} & 0 \end{pmatrix}$$

$$H_g = \begin{pmatrix} 0 & f_1 & 0 & \cdots & 0 & 0 & 0 & \cdots & 0 \\ 0 & 0 & f_2 & \cdots & 0 & 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 0 & 0 & 0 & \cdots & 0 \\ g_1 & 0 & 0 & \cdots & 0 & 0 & 0 & \cdots & 0 \\ 0 & g_2 & 0 & \cdots & 0 & 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 0 & 0 & 0 & \cdots & f_{q-1} \\ 0 & 0 & 0 & \cdots & g_{q-g+1} & 0 & 0 & \cdots & 0 \end{pmatrix}$$



$$\det(I - zH_g) = \sum_{n=0}^{\lfloor q/g \rfloor} (-1)^n Z_n z^{gn}$$

$$Z_n = \sum_{k_1=1}^{q-gn+1} \sum_{k_2=1}^{k_1} \cdots \sum_{k_n=1}^{k_{n-1}} s_{k_1+gn-g} s_{k_2+gn-2g} \cdots s_{k_{n-1}+g} s_{k_n}$$

with $s_k = g_k f_k f_{k+1} \cdots f_{k+g-2}$

$$\text{tr } H_g^{n=gn} = gn \sum_{\substack{l_1, l_2, \dots, l_j \\ g\text{-composition of } n}} c_g(l_1, l_2, \dots, l_j) \sum_{k=1}^{q-j-g+2} s_k^{l_1} s_{k+1}^{l_2} \cdots s_{k+j-1}^{l_j}$$

g -composition: no more than $g - 2$ zeros in succession
 Example: nine $g = 3$ -compositions of $n = 3$: (3), (2,1), (1,2), (1,1,1), (2,0,1), (1,0,2), (1,0,1,1), (1,1,0,1), (1,0,1,0,1)

$$c_g(l_1, l_2, \dots, l_j) = \frac{1}{l_1} \prod_{i=2}^j \binom{l_{i-g+1} + \cdots + l_i - 1}{l_i}$$

Approach 2: compute directly $\text{tr } H_g^n$ and interpret c_g in combinatorics

[LG, Ouvry, Polychronakos 2022]

$$H_g = \begin{pmatrix} 0 & f_1 & 0 & \cdots & 0 & 0 & 0 & \cdots & 0 \\ 0 & 0 & f_2 & \cdots & 0 & 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 0 & 0 & 0 & \cdots & 0 \\ g_1 & 0 & 0 & \cdots & 0 & 0 & 0 & \cdots & 0 \\ 0 & g_2 & 0 & \cdots & 0 & 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 0 & 0 & 0 & \cdots & f_{q-1} \\ 0 & 0 & 0 & \cdots & g_{q-g+1} & 0 & 0 & \cdots & 0 \end{pmatrix}$$

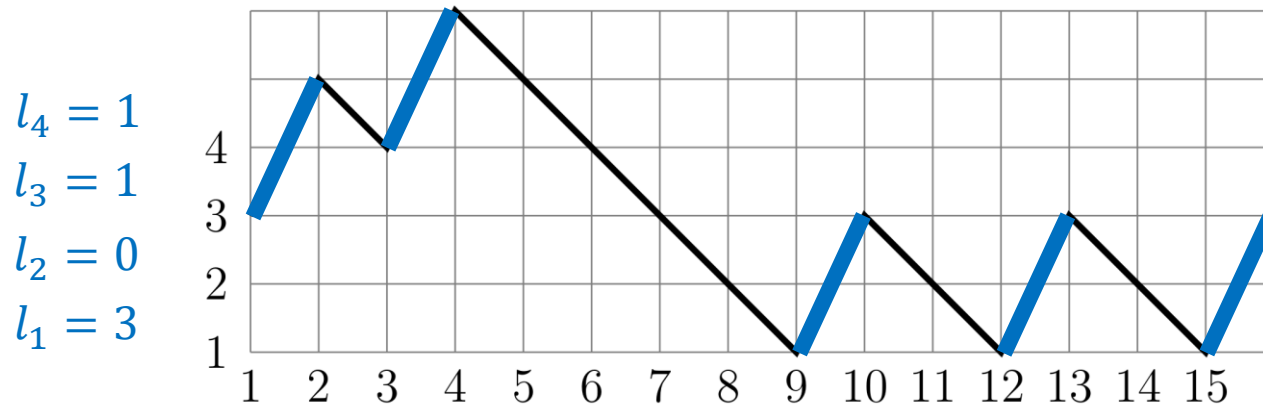
h_{ij} : matrix elements of H_g

$$\text{tr } H_g^n = \sum_{k_1=1}^q \sum_{k_2=1}^q \cdots \sum_{k_n=1}^q h_{k_1 k_2} h_{k_2 k_3} \cdots h_{k_n k_1}$$

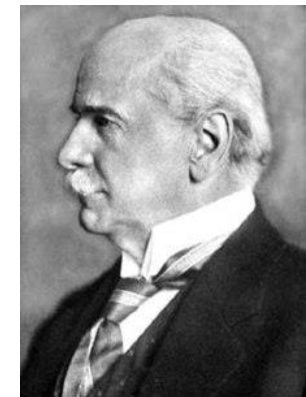
$$k_{i+1} - k_i = \begin{cases} -(g-1) & \rightarrow \text{up step (going up } g-1 \text{ floors)} \\ +1 & \rightarrow \text{down step (going down 1 floor)} \end{cases}$$

Example: $g = 3$ up step  and down step 

g -composition $l_1, \dots, l_j \rightarrow$ all possible **periodic generalized Dyck paths** with l_i up steps starting from the i -th floor



Example: a **periodic generalized Dyck path** of length 15 for the $g = 3$ composition **3,0,1,1** starting from the third floor with an up step.

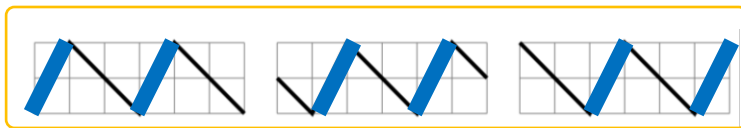


W. F. A. von Dyck
German mathematician

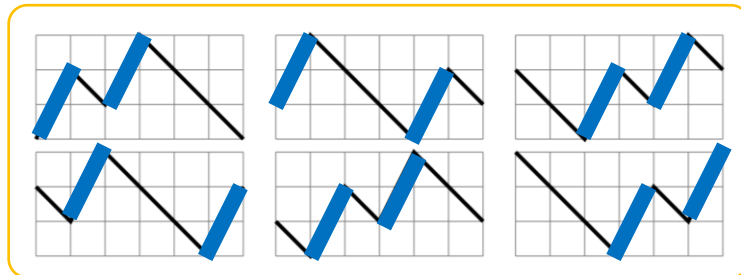
Approach 2: compute directly $\text{tr } H_g^n$ and interpreter c_g in combinatorics

g -composition $l_1, \dots, l_j \rightarrow$ all possible **periodic generalized Dyck paths** with l_i up steps starting from the i -th floor

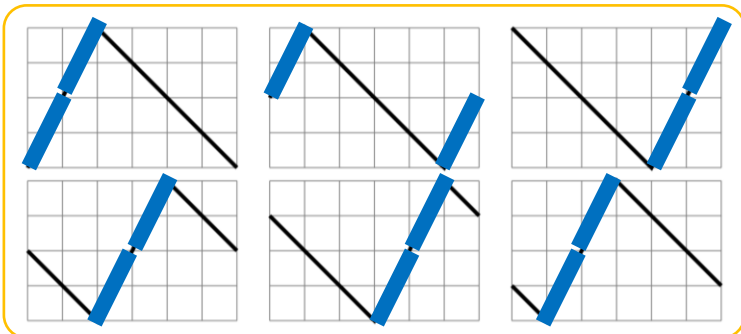
Example: $g=3$ -compositions of $n = 2$: (2), (1,1), (1,0,1)



$(l_1, l_2, \dots, l_j) \quad c_3$
(2) $1/2$



(1,1) 1



(1,0,1) 1

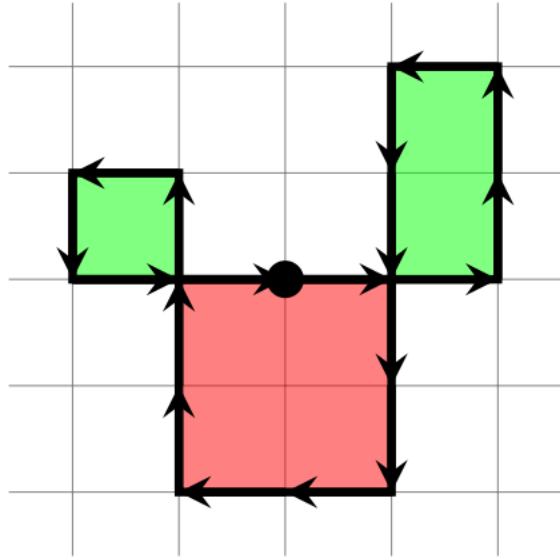
$$c_g(l_1, l_2, \dots, l_j) = \frac{1}{l_1} \prod_{i=2}^j \binom{l_{i-g+1} + \dots + l_i - 1}{l_i}$$

- $l_i c_g$ is the number of such generalized Dyck paths **starting from the i -th floor with an up step**
- $(l_1 + l_2 + \dots + l_j) c_g = n c_g$ is the total number of such generalized Dyck paths **starting with an up step**
- $gn c_g$ is the total number of such generalized Dyck paths

$$\text{tr } H_g^{n=gn} = gn \sum_{\substack{l_1, l_2, \dots, l_j \\ g\text{-composition of } n}} c_g(l_1, l_2, \dots, l_j) \sum_{k=1}^{q-j-g+2} s_k^{l_1} s_{k+1}^{l_2} \dots s_{k+j-1}^{l_j}$$

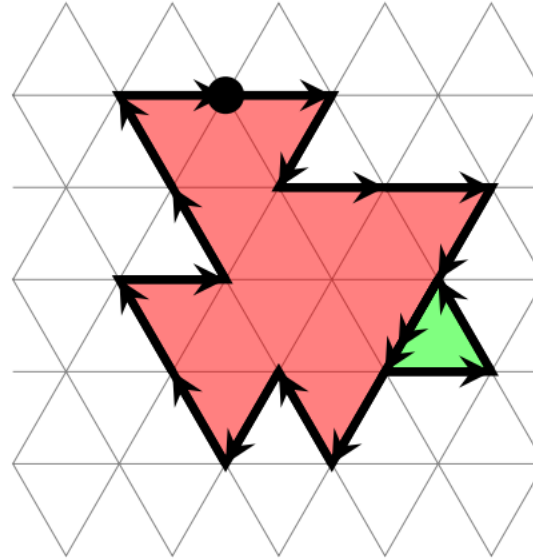
with $s_k = g_k f_k f_{k+1} \dots f_{k+g-2}$

Closed random walks on various lattices



square

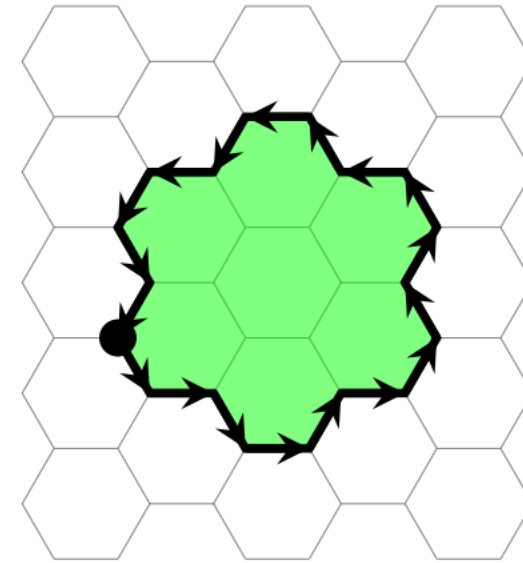
$$g = 2$$



chiral* triangular

$$g = 3$$

[[Ouvry, Polychronakos 2019](#)]

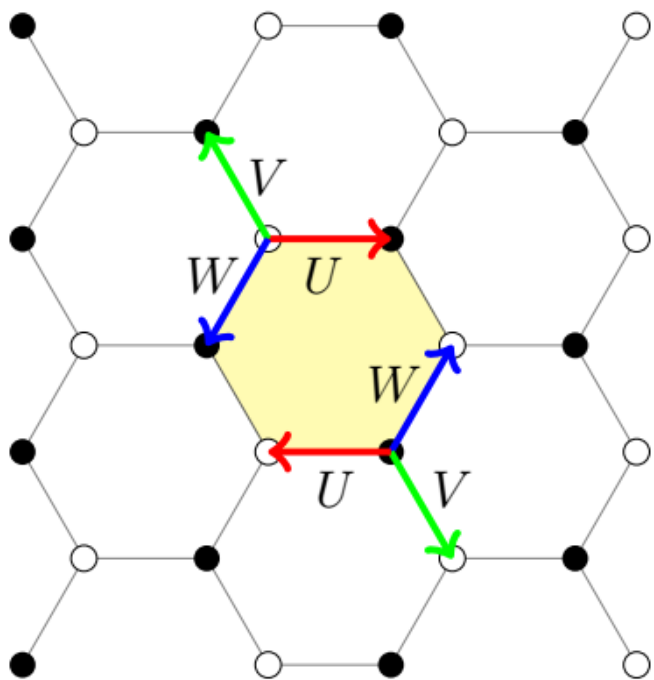


honeycomb

mixture of $g = 1$ and $g = 2$

[[LG, Ouvry, Polychronakos 2022](#)]

* Only three out of six directions at each step are allowed.



Honeycomb lattice walks

Hamiltonian $H = U + V + W$

honeycomb algebra $U^2 = V^2 = W^2 = I, (UVW)^2 = Q$

$$\Rightarrow U = \begin{pmatrix} 0 & u \\ u^{-1} & 0 \end{pmatrix}, \quad V = \begin{pmatrix} 0 & v \\ v^{-1} & 0 \end{pmatrix}, \quad W = \begin{pmatrix} 0 & Q^{1/2}vu^{-1} \\ Q^{-1/2}uv^{-1} & 0 \end{pmatrix}$$

$$H = \begin{pmatrix} 0 & u + v + Q^{1/2}vu^{-1} \\ u^{-1} + v^{-1} + Q^{-1/2}uv^{-1} & 0 \end{pmatrix} = \begin{pmatrix} 0 & A \\ A^\dagger & 0 \end{pmatrix}, \quad H_{1,2} = AA^\dagger$$

$$\det(I - zH) = \det(I - z^2 H_{1,2}) = \sum_{n=0}^q (-1)^n Z_n z^{2n}$$

$$\det(I - zH) \longrightarrow Z_n \longrightarrow b_n \longrightarrow \text{tr } H^n$$

Honeycomb lattice walks: (1,2)-exclusion

$$H_{1,2} = \begin{pmatrix} \tilde{s}_1 & f_1 & 0 & \cdots & 0 & 0 \\ g_1 & \tilde{s}_2 & f_2 & \cdots & 0 & 0 \\ 0 & g_2 & \tilde{s}_3 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & \tilde{s}_{q-1} & f_{q-1} \\ 0 & 0 & 0 & \cdots & g_{q-1} & \tilde{s}_q \end{pmatrix}$$

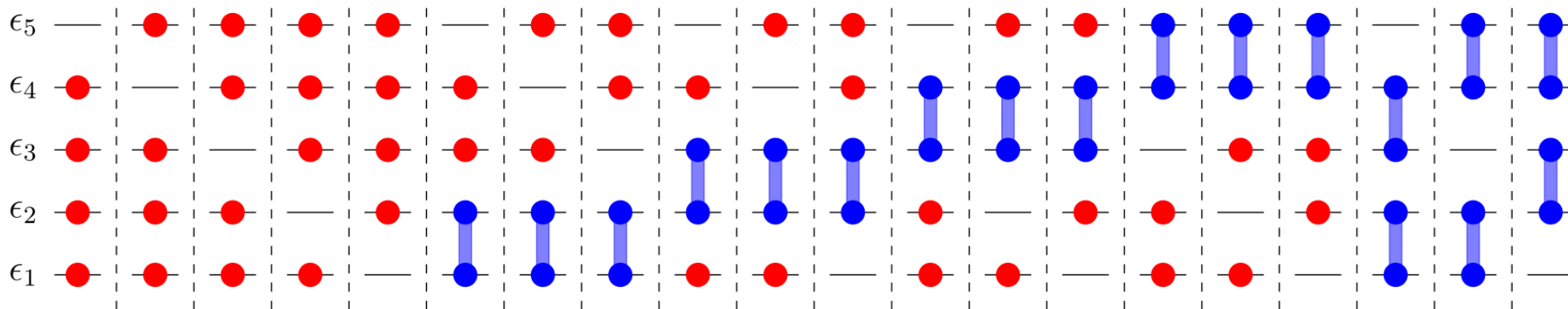
$$\det(I - zH_{1,2}) = \sum_{n=0}^q (-1)^n Z_n z^n$$

$$s_k = g_k f_k, \quad \tilde{s}_k = 1 + s_k$$

e.g. $q = 5$

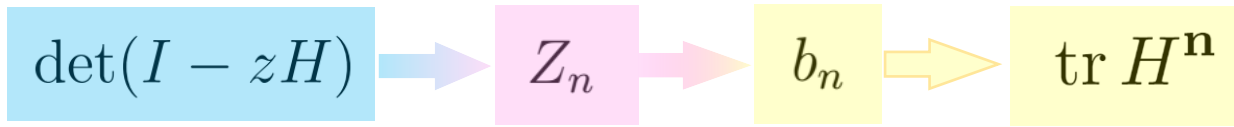
$$\begin{aligned} Z_4 = & \tilde{s}_4 \tilde{s}_3 \tilde{s}_2 \tilde{s}_1 + \tilde{s}_5 \tilde{s}_3 \tilde{s}_2 \tilde{s}_1 + \tilde{s}_5 \tilde{s}_4 \tilde{s}_2 \tilde{s}_1 + \tilde{s}_5 \tilde{s}_4 \tilde{s}_3 \tilde{s}_1 + \tilde{s}_5 \tilde{s}_4 \tilde{s}_3 \tilde{s}_2 + \tilde{s}_4 \tilde{s}_3 (-s_1) + \tilde{s}_5 \tilde{s}_3 (-s_1) \\ & + \tilde{s}_5 \tilde{s}_4 (-s_1) + \tilde{s}_4 \tilde{s}_1 (-s_2) + \tilde{s}_5 \tilde{s}_1 (-s_2) + \tilde{s}_5 \tilde{s}_4 (-s_2) + \tilde{s}_2 \tilde{s}_1 (-s_3) + \tilde{s}_5 \tilde{s}_1 (-s_3) + \tilde{s}_5 \tilde{s}_2 (-s_3) \\ & + \tilde{s}_2 \tilde{s}_1 (-s_4) + \tilde{s}_3 \tilde{s}_1 (-s_4) + \tilde{s}_3 \tilde{s}_2 (-s_4) + (-s_3)(-s_1) + (-s_4)(-s_1) + (-s_4)(-s_2) \end{aligned}$$

mixture of $g = 1$ (fermion) and $g = 2$ exclusion



Z_4 for $q = 5$: all possible occupancies of 5 levels by 4 particles with either **fermions** or **two-fermion bound states**

Honeycomb lattice walks: (1,2)-exclusion



$$\text{tr } H_{1,2}^{\mathbf{n}} = \sum_{\substack{\tilde{l}_1, \dots, \tilde{l}_{j+1}; l_1, \dots, l_j \\ (1,2)\text{-composition of } \mathbf{n}}} c_{1,2}(\tilde{l}_1, \dots, \tilde{l}_{j+1}; l_1, \dots, l_j) \sum_{k=1}^{q-j} \tilde{s}_k^{\tilde{l}_1} s_k^{l_1} \tilde{s}_{k+1}^{\tilde{l}_2} s_{k+1}^{l_2} \cdots$$

(1,2)-composition of \mathbf{n} if

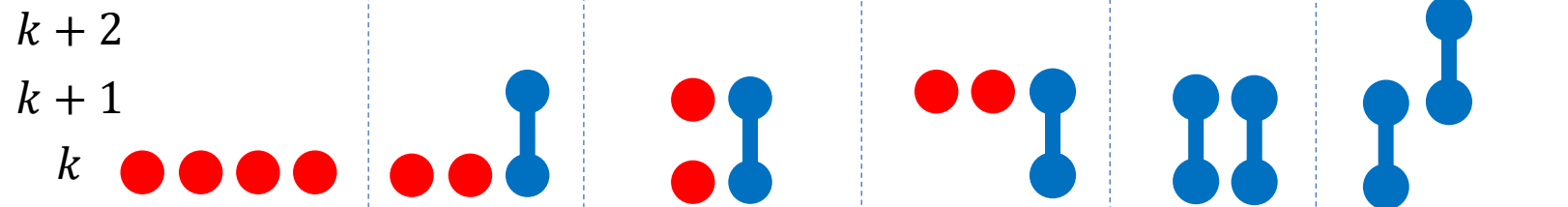
$$\mathbf{n} = (\tilde{l}_1 + \dots + \tilde{l}_{j+1}) + 2(l_1 + \dots + l_j), \quad \tilde{l}_i \geq 0, \quad l_i > 0$$

combinatorial factor

$$c_{1,2}(\tilde{l}_1, \dots, \tilde{l}_{j+1}; l_1, \dots, l_j) = \frac{(\tilde{l}_1 + l_1 - 1)!}{\tilde{l}_1! l_1!} \prod_{k=2}^{j+1} \binom{l_{k-1} + \tilde{l}_k + l_k - 1}{l_{k-1} - 1, \tilde{l}_k, l_k}$$

combinatorial interpretation from cluster coefficients

$$-b_4 = \frac{1}{4} \sum_{k=1}^q \tilde{s}_k^4 + \sum_{k=1}^{q-1} \tilde{s}_k^2 s_k + \sum_{k=1}^{q-1} \tilde{s}_k s_k \tilde{s}_{k+1} + \sum_{k=1}^{q-1} s_k \tilde{s}_{k+1}^2 + \frac{1}{2} \sum_{k=1}^{q-1} s_k^2 + \sum_{k=1}^{q-2} s_k s_{k+1}$$



e.g. (1,2)-composition of 4:



Honeycomb lattice walks: (1,2)-exclusion

$$\text{tr } H_{1,2}^{\mathbf{n}} = \mathbf{n} \sum_{\substack{\tilde{l}_1, \dots, \tilde{l}_{j+1}; l_1, \dots, l_j \\ (1,2)\text{-composition of } \mathbf{n}}} c_{1,2}(\tilde{l}_1, \dots, \tilde{l}_{j+1}; l_1, \dots, l_j) \sum_{k=1}^{q-j} \tilde{s}_k^{\tilde{l}_1} s_k^{l_1} \tilde{s}_{k+1}^{\tilde{l}_2} s_{k+1}^{l_2} \cdots$$

$$s_k = 4 \sin^2(k\pi p/q), \quad \tilde{s}_k = 1 + s_k$$

$$C_{\mathbf{n}}(A) = n \sum_{\substack{l_1, l_2, \dots, l_j \\ \text{composition of } n' = 0, 1, 2, \dots, n \\ j \leq \min(n', n - n' + 1)}} c_n(l_1, l_2, \dots, l_j) \sum_{k_3 = -l_3}^{l_3} \sum_{k_4 = -l_4}^{l_4} \cdots \sum_{k_j = -l_j}^{l_j} \binom{l_1 + A + \sum_{i=3}^j (i-2)k_i}{l_1 + A + \sum_{i=3}^j (i-2)k_i} \binom{l_2 - A - \sum_{i=3}^j (i-1)k_i}{l_2 - A - \sum_{i=3}^j (i-1)k_i} \prod_{i=3}^j \binom{2l_i}{l_i + k_i}$$

$$c_n(l_1, l_2, \dots, l_j) = \frac{1}{l_1 l_2 \cdots l_j} \sum_{m_1=0}^{\min(l_1, l_2)} \sum_{m_2=0}^{\min(l_2, l_3)} \cdots \sum_{m_{j-1}=0}^{\min(l_{j-1}, l_j)} \left(\prod_{i=1}^{j-1} m_i \binom{l_i}{m_i} \binom{l_{i+1}}{m_i} \right) \binom{n + \sum_{i=1}^j l_i - \sum_{i=1}^{j-1} m_i - 1}{2 \sum_{i=1}^j l_i - 1}$$

(1,2)-exclusion and Motzkin path

$$H_{1,2} = \begin{pmatrix} \tilde{s}_1 & f_1 & 0 & \cdots & 0 & 0 \\ g_1 & \tilde{s}_2 & f_2 & \cdots & 0 & 0 \\ 0 & g_2 & \tilde{s}_3 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & \tilde{s}_{q-1} & f_{q-1} \\ 0 & 0 & 0 & \cdots & g_{q-1} & \tilde{s}_q \end{pmatrix}$$

$$\text{tr } H_{1,2}^n = \sum_{k_1=1}^q \sum_{k_2=1}^q \cdots \sum_{k_n=1}^q h_{k_1 k_2} h_{k_2 k_3} \cdots h_{k_n k_1}$$

$$k_{i+1} - k_i = \begin{cases} -1 & \rightarrow \text{up step (going up 1 floor)} \\ +1 & \rightarrow \text{down step (going down 1 floor)} \\ 0 & \rightarrow \text{horizontal step} \end{cases}$$



T. S. Motzkin

Israeli-American mathematician

length $\mathbf{n} = 4$

$(\tilde{l}_1, \tilde{l}_2, \dots, \tilde{l}_{j+1}; l_1, l_2, \dots, l_j) \quad c_{1,2}$

	$(4, 0; 0)$	1/4
	$(2, 0; 1)$	1
	$(1, 1; 1)$	1
	$(0, 2; 1)$	1
	$(0, 0; 2)$	1/2
	$(0, 0, 0; 1, 1)$	1

$$c_{1,2}(\tilde{l}_1, \dots, \tilde{l}_{j+1}; l_1, \dots, l_j) = \frac{(\tilde{l}_1 + l_1 - 1)!}{\tilde{l}_1! l_1!} \prod_{k=2}^{j+1} \binom{l_{k-1} + \tilde{l}_k + l_k - 1}{l_{k-1} - 1, \tilde{l}_k, l_k}$$

- $l_i c_{1,2}$ is the number of such Motzkin paths **starting from the i -th floor with an up step**
- $(l_1 + l_2 + \dots + l_j) c_{1,2}$ is the total number of such Motzkin paths **starting with an up step**
- $\mathbf{n} c_{1,2}$ is the total number of such Motzkin paths

→ generalization: $(1, g)$ -exclusion, (g_1, g_2, \dots) -exclusion

(1,g)-exclusion

$$\text{tr } H_{1,g}^{\mathbf{n}} = \mathbf{n} \sum_{\substack{\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j \\ (1,g)\text{-composition of } \mathbf{n}}} c_{1,g}(\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j) \sum_{k=1}^{q-j-g+2} \tilde{s}_k^{\tilde{l}_1} s_k^{l_1} \tilde{s}_{k+1}^{\tilde{l}_2} s_{k+1}^{l_2} \cdots$$

We define the sequence of integers $\tilde{l}_1, \tilde{l}_2, \dots, \tilde{l}_{j+g-1}; l_1, l_2, \dots, l_j$, $j \geq 1$, as a $1, g$ -composition of \mathbf{n} if they satisfy the conditions

$$\mathbf{n} = (\tilde{l}_1 + \tilde{l}_2 + \cdots + \tilde{l}_{j+g-1}) + g(l_1 + l_2 + \cdots + l_j)$$

$\tilde{l}_i \geq 0$; $l_i \geq 0$, $l_1, l_j > 0$, at most $g-2$ successive vanishing l_i

That is, the l_j 's are the usual g -compositions of integers $1, 2, \dots, \lfloor \mathbf{n}/g \rfloor$ and the \tilde{l}_i 's are additional nonnegative integers (we also include the trivial composition $\tilde{l}_1 = \mathbf{n}$.) For example, there are seven $(1, 3)$ compositions of 5

- $j = 0$: (5); $j = 1$: (2, 0, 0; 1), (1, 1, 0; 1), (1, 0, 1; 1), (0, 2, 0; 1), (0, 1, 1; 1), (0, 0, 2; 1)

and five $(1, 4)$ compositions of 5

- $j = 0$: (5); $j = 1$: (1, 0, 0, 0; 1), (0, 1, 0, 0; 1), (0, 0, 1, 0; 1), (0, 0, 0, 1; 1)

$$c_{1,g}(\tilde{l}_1, \tilde{l}_2, \dots, \tilde{l}_{j+g-1}; l_1, l_2, \dots, l_j) = \frac{(\tilde{l}_1 + l_1 - 1)!}{\tilde{l}_1! l_1!} \prod_{k=2}^{j+g-1} \binom{\tilde{l}_k + \sum_{i=k-g+1}^k l_i - 1}{\sum_{i=k-g+1}^{k-1} l_i - 1, \tilde{l}_k, l_k}$$

(1,g)-exclusion

The number of $(1, g)$ -compositions of a given integer \mathbf{n} is

$$N_{1,g}(\mathbf{n}) = 1 + \sum_{k=0}^{\lfloor \mathbf{n}/g \rfloor - 1} \sum_{m=0}^{(g-1)k} \binom{k}{m}_g \binom{\mathbf{n} + m - gk - 1}{m + g - 1},$$

where the g -nomial coefficient is defined as

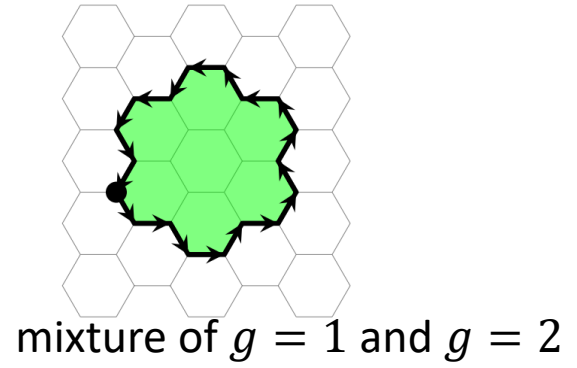
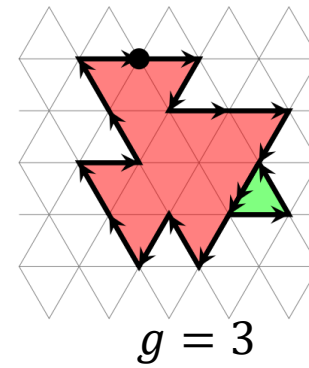
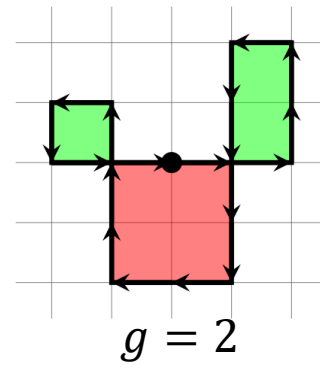
$$\binom{k}{m}_g = [x^m](1 + x + x^2 + \dots + x^{g-1})^k = \sum_{j=0}^{\lfloor m/g \rfloor} (-1)^j \binom{k}{j} \binom{k + m - gj - 1}{k - 1}.$$

Equivalently, the generating function of the $N_{1,g}(\mathbf{n})$'s is

$$\sum_{\mathbf{n}=0}^{\infty} x^{\mathbf{n}} N_{1,g}(\mathbf{n}) = \frac{(1-x)^{g-2}(1+x^{g-1}-x^g) - x^{g-1}}{(1-x)^{g-1}(1+x^{g-1}-x^g) - x^{g-1}}.$$

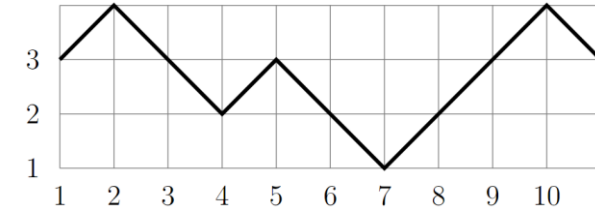
Take-home message

algebraic area enumeration
of lattice random walks



quantum exclusion statistics

combinatorics of
Dyck/Motzkin paths

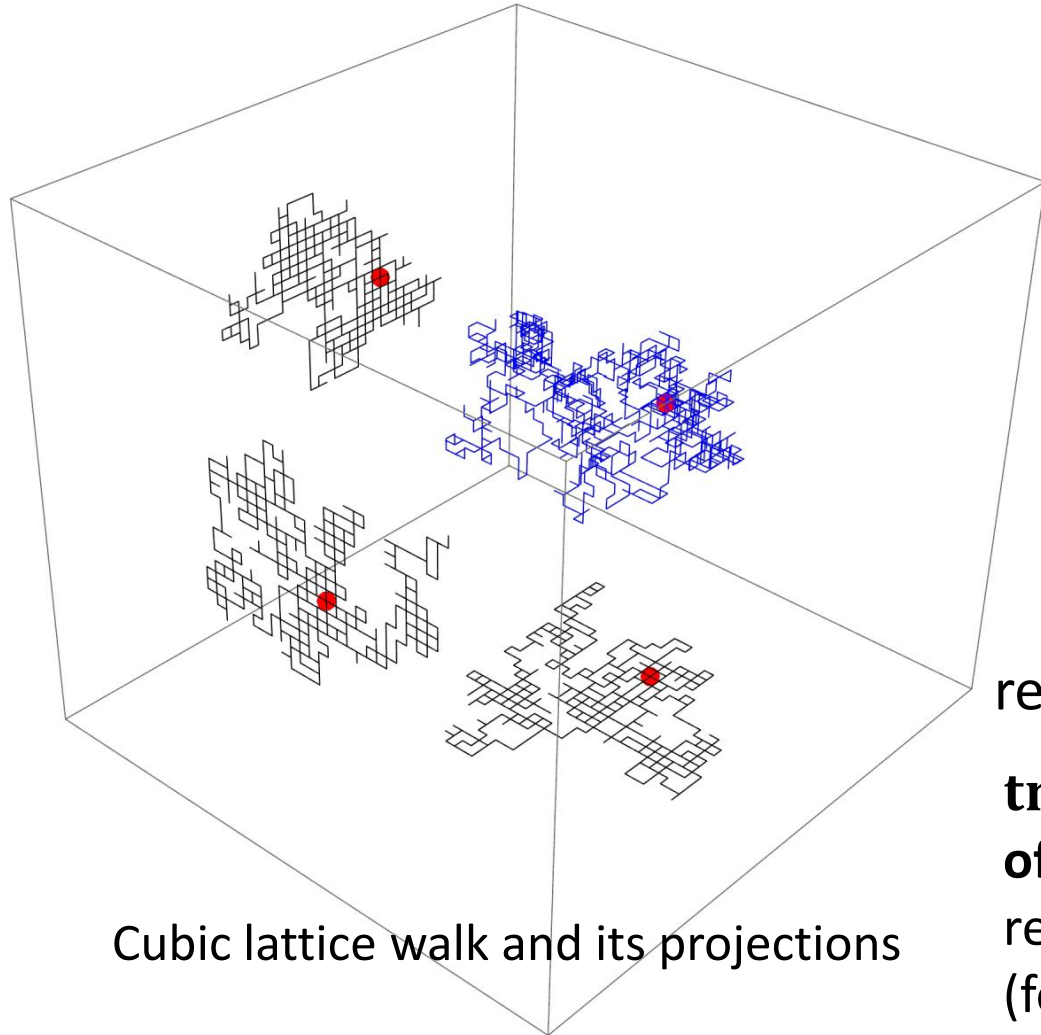


Future plans

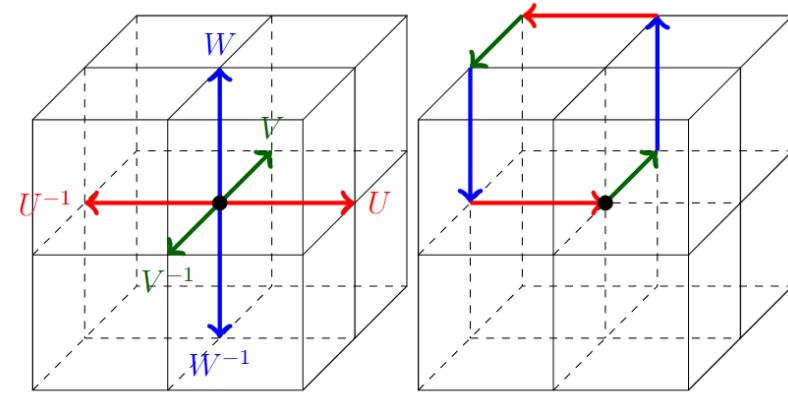
- Higher dimensional walks?
3D cubic lattice walks: mixture of $g = 1$, $g = 1$, and $g = 2$ exclusion [[LG 2023](#)]
arbitrary dimension (ongoing work)

Cubic lattice walks: (1,1,2)-exclusion with constraints [\[LG 2023\]](#)

algebraic area of 3D walks: sum of algebraic areas obtained from the walk's projection onto the three Cartesian planes



Cubic lattice walk and its projections



$$UW^{-1}V^{-1}U^{-1}WV = Q$$

Hamiltonian $H = U + V + W + U^{-1} + V^{-1} + W^{-1}$

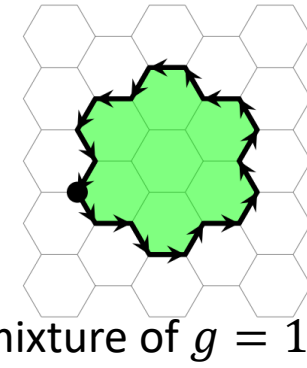
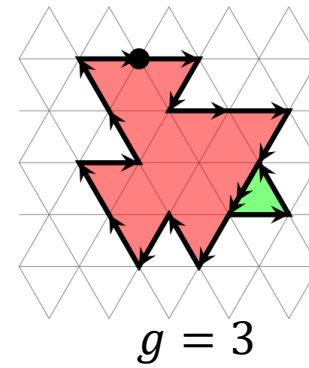
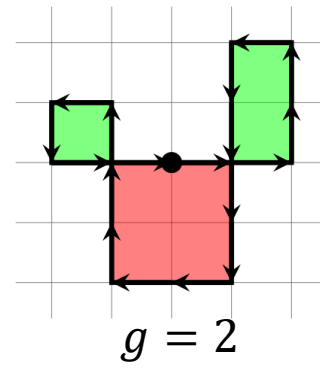
algebra $VU = QUV, WV = QVW, UW = QWU$

representation $U = u \otimes I, V = v \otimes I, W = (v^{-1}u^{-1}) \otimes u$

$\text{tr } H^n$ can be mapped onto the cluster coefficients of **three types of particles** that obey exclusion statistics with $g=1, g=1$, and $g=2$, respectively, subject to the constraint that the numbers of $g=1$ (fermions) exclusion particles of two types are equal.

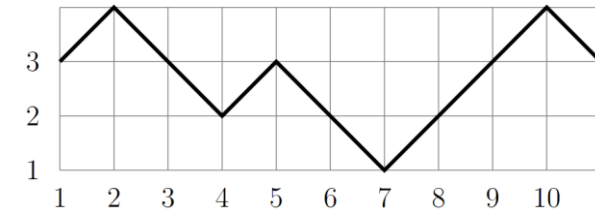
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Future plans

- Higher dimensional walks?
3D cubic lattice walks: mixture of $g = 1$, $g = 1$, and $g = 2$ exclusion [[LG 2023](#)]
arbitrary dimension (ongoing work)
- Connection to exactly solvable models?
e.g. open Ising spin-1/2 chain: *free-fermionic* spectrum $\pm \epsilon_1 \pm \epsilon_2 \pm \dots$ with ϵ_k obtained from $g = 2$ exclusion matrix H_2 [[Baxter 1989](#)], closed chain (in preparation), $SU(N)$ or mixed spin chain (ongoing work)
- Other applications? Polymer physics, particle physics, quantum information, etc.

Thank You! One more thing: seek a postdoc position starting from Jan. 2024 :)