

# Counting occurrences for a finite set of words: an inclusion-exclusion approach

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# Problem setting

Compute **separately** the number of occurrences of a **non-reduced** set of words  $\mathcal{U}$  in a random text under Bernoulli (non-uniform) model

**Reduced set:** no word is factor of another word

Reduced	Non-Reduced
$\mathcal{U} = \{aab, ba, bb\}$	$\mathcal{U} = \{aa, aab, bbaabb\}$

## Methods

- Formal languages manipulations (Régnier-Szpankowski) (**it fails in the non-reduced case**)
- Aho-Corasick (automaton) + Chomsky-Schützenberger
- Inclusion-Exclusion (Goulden-Jackson, Noonan-Zeilberger)

# Analytic Aim

$\mathcal{U} = \{u_1, \dots, u_r\}$  non-reduced set of words

$\mathcal{O}_n^{(r)}$ : random variable counting the number of occurrences of the word  $u_r$  in a random text of size  $n$  (Bernoulli model)

We want to compute

$$F(z, x_1, \dots, x_r) = \sum_{k_1 \geq 0, \dots, k_r \geq 0, n \geq 0} \Pr(\mathcal{O}_n^{(1)} = k_1, \dots, \mathcal{O}_n^{(r)} = k_r) x_1^{k_1} \dots x_r^{k_r} z^n$$

From there

$$\mathbf{E} \left( \mathcal{O}_n^{(1)} \times \dots \times \mathcal{O}_n^{(r)} \right) = [z^n] \left. \frac{\partial}{\partial x_1} \dots \frac{\partial}{\partial x_r} F(z, x_1, \dots, x_r) \right|_{x_1 = \dots = x_r = 1}$$

# (Auto)-Correlation Set

auto-correlation

$$h = ababa \rightsquigarrow \begin{array}{c} ababa \\ ababa| \\ aba\color{red}{ba} \\ ababa \end{array} \rightsquigarrow \mathcal{C}_{ababa,ababa} = \{\epsilon, ba, baba\}$$

$$\mathcal{C}_{h,h} = \{ w, \quad h.w = r.h \quad \text{and} \quad |w| < |h| \}$$

correlation

$$\mathcal{C}_{h_1,h_2} = \{ w, \quad h_1.w = r.h_2 \quad \text{and} \quad |w| < |h_2| \}$$

$$h_1 = baba, \quad h_2 = abaaba \longrightarrow \mathcal{C}_{baba,abaaba} = \{aba, baaba\}$$

# Generating function of a language

language = set of words

alphabet  $\mathcal{A} = \{a, b\}$

$\mathcal{A}^* = \epsilon + \mathcal{A} + \mathcal{A}^2 + \dots + \mathcal{A}^n + \dots$  all the words

$$\mathcal{L} \subset \mathcal{A}^* \quad \rightsquigarrow \quad F_{\mathcal{L}}(a, b) = \sum_{w \in \mathcal{L}} \text{commute}(w)$$

$$(aabaa)^* = \epsilon + aabaa + (aabaa)^2 + (aabaa)^3 + \dots$$

$$\mathcal{L} = (aabaa)^* + bbb \quad \Longrightarrow \quad F_{\mathcal{L}}(a, b) = \frac{1}{1 - a^4 b} + b^3$$

$$\text{if } \mathcal{X}.\mathcal{Y} \text{ non ambiguous,} \quad F_{\mathcal{X}.\mathcal{Y}}(a, b) = F_{\mathcal{X}}(a, b) \times F_{\mathcal{Y}}(a, b)$$

$$\text{if } \mathcal{X} \text{ and } \mathcal{Y} \text{ disjoint,} \quad F_{\mathcal{X}+\mathcal{Y}}(a, b) = F_{\mathcal{X}}(a, b) + F_{\mathcal{Y}}(a, b)$$

$$\text{if } \mathcal{X}^* \text{ non ambiguous,} \quad F_{\mathcal{X}^*}(a, b) = \frac{1}{1 - F_{\mathcal{X}}(a, b)}$$

# Weighted and Counting Generating Function

Generating function of the language  $\mathcal{L}$        $M(a, b) = \sum_{\alpha \in \mathcal{L}} \text{commute}(\alpha)$

Weighted generating function     $W(z) = M(\omega_a z, \omega_b z) = \sum_{\alpha \in \mathcal{L}} p_{\alpha} z^{|\alpha|} = \sum \pi_n z^n$   
 $\omega_a = \Pr(a)$ ,  $\omega_b = \Pr(b)$ ,  $p_{\alpha}$  proba. of word  $\alpha$ ,  $\pi_n$  proba. that a word of size  $n$  belongs to  $\mathcal{L}$

Counting generating function     $F(z) = M(z, z) = \sum_{\alpha \in \mathcal{L}} z^{|\alpha|} = \sum f_n z^n$   
 $f_n$  number of words of the language of size  $n$

## Example

$\mathcal{L} = \{\epsilon, aa, ab, ba, aaab\}$       ( $\epsilon$  empty word)

$$\Rightarrow \begin{cases} M(a, b) = 1 + a^2 + 2ab + a^3b \\ F(z) = 1 + 3z^2 + z^3 \end{cases}$$

# Formal Languages Analysis

## (Régnier-Szpankowski - 1998)

“parse” the text with respect to the occurrences

Right  $\mathcal{R}$  – set of texts obtained by reading up to the first occurrence

Minimal  $\mathcal{M}$  – set of texts separating two occurrences

Ultimate  $\mathcal{U}$  – set of texts following the last occurrence

Not  $\mathcal{N}$  – set of texts with no occurrence

$$\mathcal{A}^* = \mathcal{N} + \mathcal{R}. (\mathcal{M})^*. \mathcal{U} \quad \Rightarrow \quad \mathcal{L}_{\textcolor{red}{x}} = \mathcal{N} + \mathcal{R}_{\textcolor{red}{x}}. (\mathcal{M}_{\textcolor{red}{x}})^*. \mathcal{U}$$

# Equations over the languages

$$\mathcal{C} = \mathcal{C}_{h,h} \quad \pi_h = \Pr(h) \text{ (Bernoulli model)}$$

- (I)  $\mathcal{A}^* = \mathcal{U} + \mathcal{M}\mathcal{A}^*$       (II)  $\mathcal{A}^*h = \mathcal{R.C} + \mathcal{R.A}^*.h$   
(III)  $\mathcal{M}^+ = \mathcal{A}^*.h + \mathcal{C} - \epsilon$     (IV)  $\mathcal{N.A} = \mathcal{R} + \mathcal{N} - \epsilon$

solving

$$R(z) = \frac{\pi_h z^{|h|}}{\pi_h z^{|h|} + (1-z)C(z)}$$

$$N(z) = \frac{C(z)}{\pi_h z^{|h|} + (1-z)C(z)}$$

$$U(z) = \frac{1}{\pi_h z^{|h|} + (1-z)C(z)}$$

$$M(z) = 1 + \frac{z-1}{\pi_h z^{|h|} + (1-z)C(z)}$$

$$L(z, x) = \frac{1}{1 - z + \pi_h z^{|h|} \frac{1 - \textcolor{red}{x}}{\textcolor{red}{x} + (1 - \textcolor{red}{x})C(z)}}$$

## Reduced sets (Régnier)

$$\mathcal{R}_i, \mathcal{M}_{i,j}, \mathcal{U}_i \rightsquigarrow R_i(z), M_{i,j}(z), U_i(z)$$

functions of  $C_{h_1,h_1}(z), C_{h_2,h_2}(z), C_{h_1,h_2}(z), C_{h_2,h_1}(z)$

$$F(z, \textcolor{red}{x}_1, \textcolor{blue}{x}_2) = N(z) + (\textcolor{red}{x}_1 R_1(z), \textcolor{blue}{x}_2 R_2(z)) \begin{pmatrix} \textcolor{red}{x}_1 M_{1,1}(z) & \textcolor{blue}{x}_2 M_{1,2}(z) \\ \textcolor{red}{x}_1 M_{2,1}(z) & \textcolor{blue}{x}_2 M_{2,2}(z) \end{pmatrix}^* \begin{pmatrix} U_1(z) \\ U_2(z) \end{pmatrix}$$

This collapses in case of non-reduced sets

# Aho-Corasick

- **Input:** non-reduced set of words  $\mathcal{U}$ .
- **Output:** automaton  $\mathcal{A}_{\mathcal{U}}$  recognizing  $\mathcal{A}^*\mathcal{U}$ .

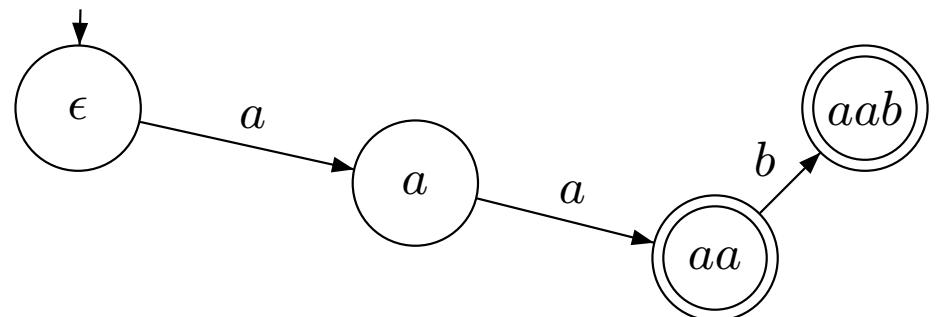
**Algorithm:**

1. build  $\mathcal{T}_{\mathcal{U}}$ , the ordinary **trie** representing the set  $\mathcal{U}$
2. build  $\mathcal{A}_{\mathcal{U}} = (\mathcal{A}, Q, \delta, \epsilon, T)$ :
  - $Q = \text{Pref}(\mathcal{U})$
  - $T = \mathcal{A}^*\mathcal{U} \cap \text{Pref}(\mathcal{U})$
  - $\delta(q, x) = \begin{cases} qx & \text{if } qx \in \text{Pref}(\mathcal{U}), \\ \text{Border}(qx) & \text{otherwise,} \end{cases}$ 

$\text{Border}(v) =$  the longest proper suffix of  $v$  which belongs to  $\text{Pref}(\mathcal{U})$  if defined, or  $\epsilon$  otherwise.

# Example

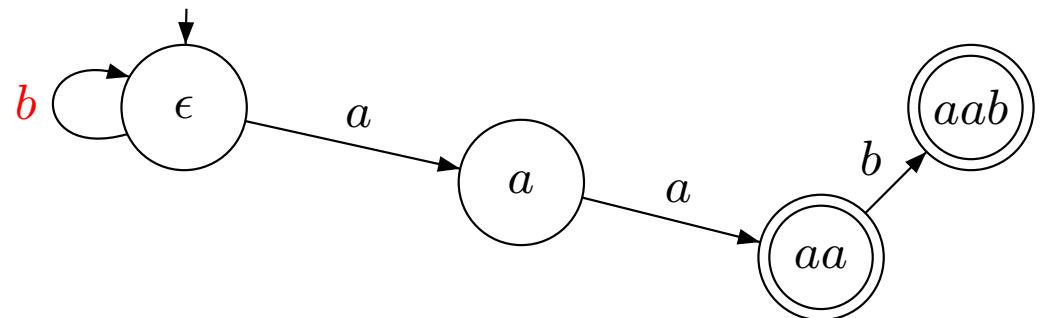
$$\mathcal{U} = \{aab, aa\}$$



Trie  $\mathcal{T}_{\mathcal{U}}$  of  $\mathcal{U}$

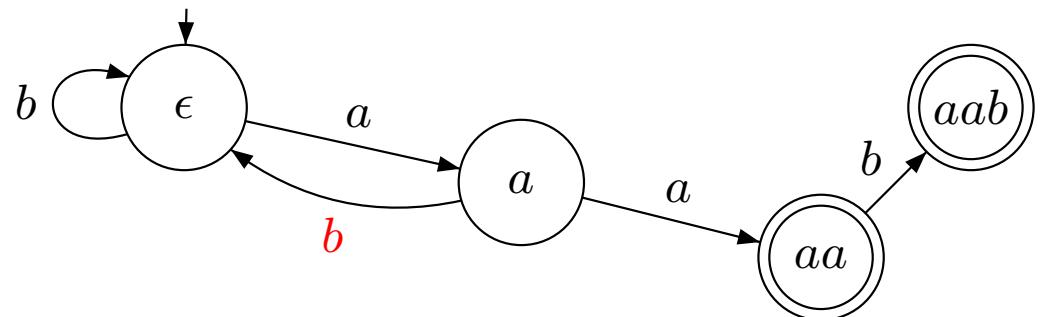
# Example

$$\mathcal{U} = \{aab, aa\} \quad \delta(\epsilon, b) = \text{Border}(b) = \epsilon$$



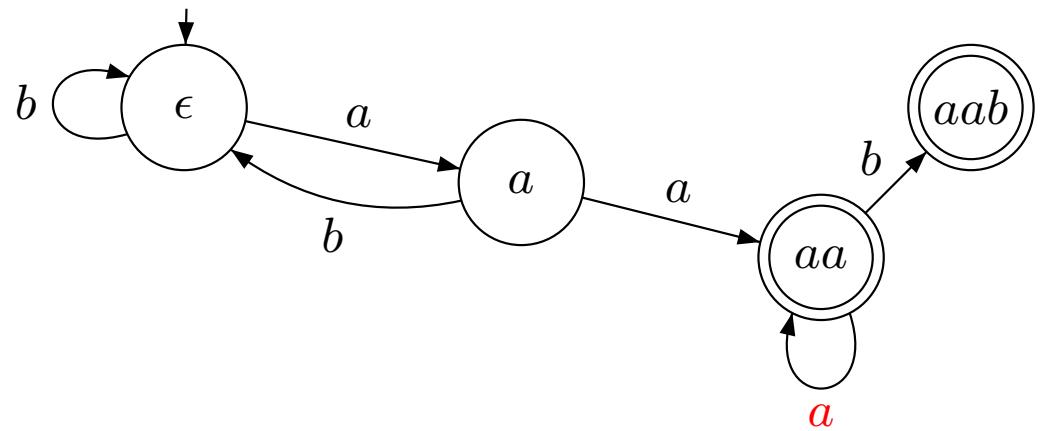
# Example

$$\mathcal{U} = \{aab, aa\} \quad \delta(a, b) = \text{Border}(a.b) = \epsilon$$



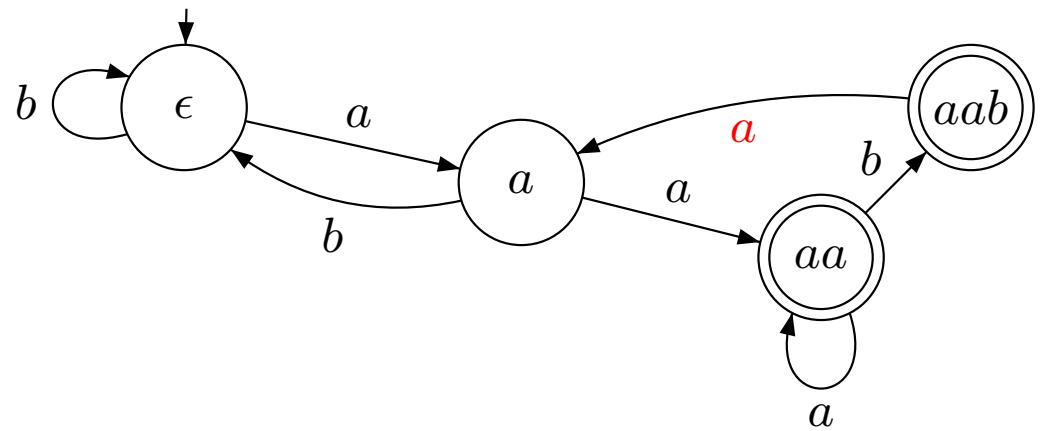
# Example

$$\mathcal{U} = \{aab, aa\} \quad \delta(aa, a) = \text{Border}(aa.a) = aa$$



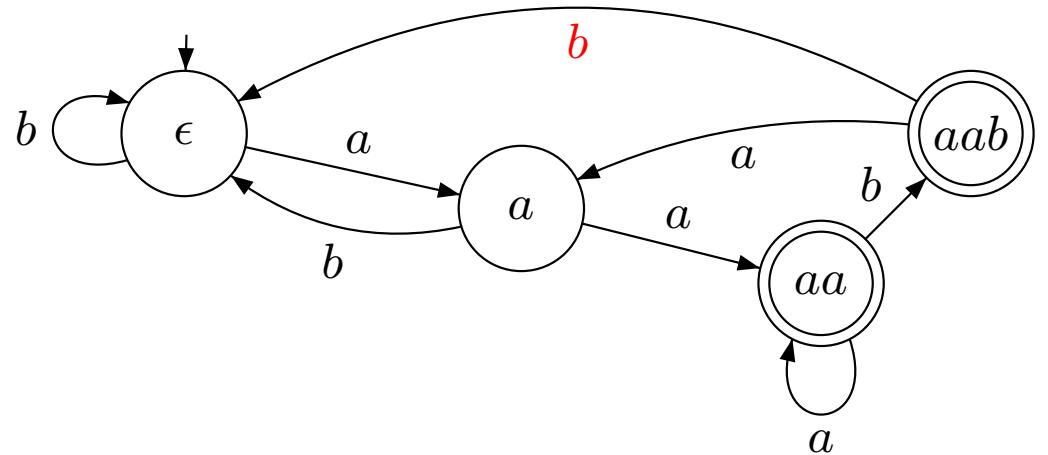
# Example

$$\mathcal{U} = \{aab, aa\} \quad \delta(aab, a) = \text{Border}(aab.a) = a$$



# Example

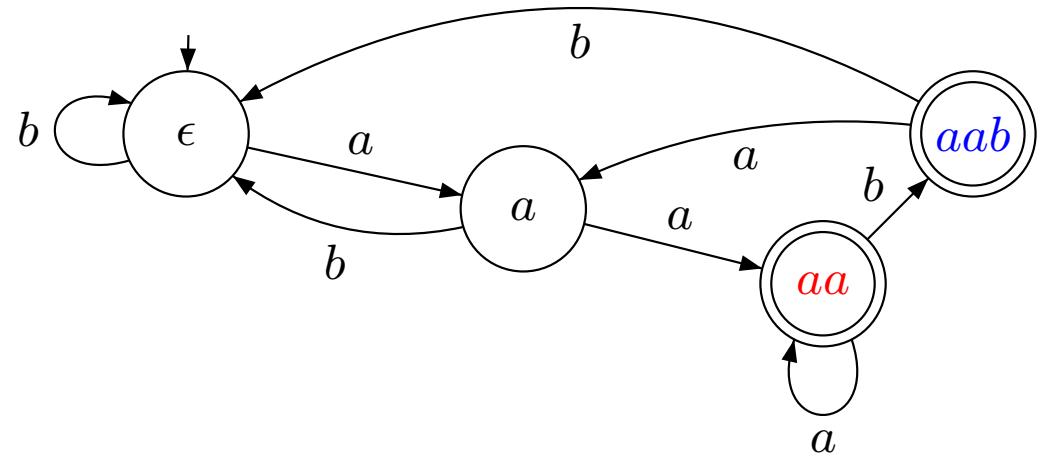
$$\mathcal{U} = \{aab, aa\} \quad \delta(aab, b) = \text{Border}(aab.b) = \epsilon$$



# Example

$$\mathcal{U} = \{aab, aa\}$$

$$\mathbb{T}(x_1, x_2) = \begin{pmatrix} b & a & 0 & 0 \\ b & 0 & ax_2 & 0 \\ 0 & 0 & ax_2 & bx_1 \\ b & a & 0 & 0 \end{pmatrix},$$

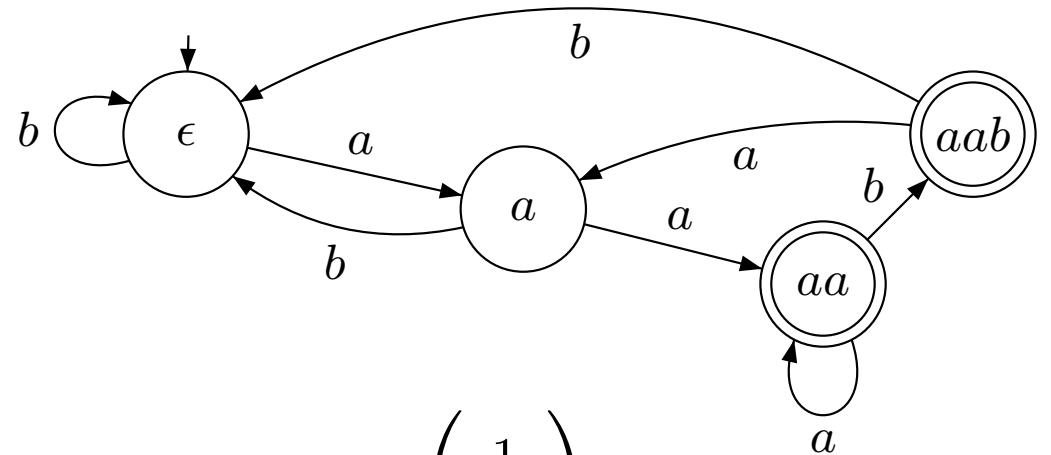


$x_1, x_2$  marks for  $aab, aa$

# Example

$$\mathcal{U} = \{aab, aa\}$$

$$\mathbb{T}(x_1, x_2) = \begin{pmatrix} b & a & 0 & 0 \\ b & 0 & a\textcolor{red}{x}_2 & 0 \\ 0 & 0 & a\textcolor{red}{x}_2 & bx_1 \\ b & a & 0 & 0 \end{pmatrix},$$



$$F(a, b, x_1, x_2) = (1, 0, 0, 0)(\mathbb{I} - \mathbb{T}(a, b, x_1, x_2))^{-1} \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix}$$

$$= \frac{1 - a(\textcolor{red}{x}_2 - 1)}{1 - ax_2 - b + ab(\textcolor{red}{x}_2 - 1) - a^2b\textcolor{red}{x}_2(x_1 - 1)^2}.$$

# Inclusion-Exclusion Principle - Analytic Version

Set of *camelus genus* (camel and dromedary); the number of humps is counted by the formal variable  $x$ .

$$\mathcal{F} = \left\{ \begin{array}{c} \text{Bactrian camel} \\ , \\ \text{Dromedary camel} \end{array} \right\}, \quad F(x) = x^2 + x$$

$\Phi = \{ \text{“objects of } \mathcal{P} \text{ in which each elementary configuration (hump)} \\ \text{is either distinguished or not”} \}$

$$= \left\{ \begin{array}{c} \text{Dromedary camel} \\ \downarrow \\ , \\ \text{Bactrian camel} \\ , \\ \text{Bactrian camel} \\ \downarrow \\ , \\ \text{Bactrian camel} \\ \downarrow \\ , \\ \text{Bactrian camel} \\ \downarrow \\ , \\ \text{Bactrian camel} \end{array} \right\}$$

$$\Phi(t) = t + 1 + t^2 + t + t + 1 = 2 + 3t + t^2 = F(1 + t)$$

## Inclusion-Exclusion principle

If  $\Phi(t)$  is easy to get, then  $F(x) = \Phi(x - 1)$ .

# Application: counts for one word

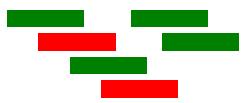
word  $aaa$        $f(x)$ : unknown p.g.f of counts of  $aaa$

$bbbbbaaaaaaaabbbbb$

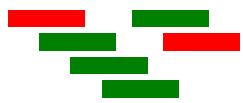


each occurrence is distinguished or not (flip-flop)  $\Rightarrow 2^k$  configurations  
for a text with  $k$  occurrences

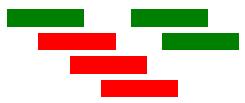
$bbbbbaaaaaaaabbbbb$



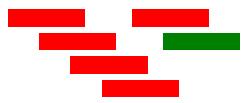
$bbbbbaaaaaaaabbbbb$



$bbbbbaaaaaaaabbbbb$



$bbbbbaaaaaaaabbbbb$



$$\text{---} \rightsquigarrow \begin{cases} \text{---} \\ \text{---} \end{cases}$$

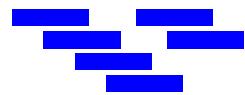
$$x \rightsquigarrow \begin{cases} 1 & f(\textcolor{blue}{x}) \rightsquigarrow f(\textcolor{green}{1} + \textcolor{red}{x}) = \phi(\textcolor{blue}{x}) \\ +x & \rightsquigarrow f(\textcolor{red}{x}) = \phi(\textcolor{blue}{x} - \textcolor{green}{1}) \end{cases}$$

computing easier  $\phi(\textcolor{red}{t})$  and substituting  $\textcolor{red}{t} \rightsquigarrow x - 1$  give harder  $f(x)$   
(Inclusion-Exclusion paradigm)

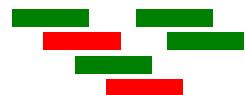
# One word - Clusters

word  $aaa$        $C_{aaa,aaa} = \{\epsilon, a, aa\}$

$bbbbbaaaaaaaabbbbb$



$bbbbbaaaaaaaabbbbb$



$bbbbbaaaaaaaabbbbb$



$bbbbbaaaaaaaabbbbb$



$bbbbbaaaaaaaabbbbb$



clusters  $\mathfrak{C}$

$$\begin{aligned}\mathfrak{C}_{aaa} &= aaa \bullet (\epsilon + a \bullet + aa \bullet + a \bullet a \bullet + a \bullet a \bullet a \bullet + a \bullet aa \bullet + aa \bullet a \bullet + \dots) \\ &= aaa \bullet (\epsilon + (C_{aaa,aaa} - \epsilon) \bullet)^+\end{aligned}$$

double counting (further removed by the inclusion-exclusion principle):

$$\begin{aligned}(C_{aaa,aaa} - \epsilon)^+(z) &= \frac{z+z^2}{1-(z+z^2)} = z + 2z^2 + 3z^3 + 5z^4 + 8z^5 + 13z^6 + \dots \\ &\neq z + z^2 + z^3 + z^4 + z^5 + z^6 + \dots\end{aligned}$$

# Word $aaa$ - Clusters - Generating function

$$C_{aaa,aaa} = \{\epsilon, a, aa\} \quad C_{aaa,aaa}(z) = 1 + z + z^2$$

$$\begin{aligned}\mathfrak{C}_{aaa} &= aaa \bullet (\epsilon + a \bullet + aa \bullet + a \bullet a \bullet + a \bullet a \bullet a \bullet + a \bullet aa \bullet + aa \bullet a \bullet + \dots) \\ &= aaa \bullet (\epsilon + ((C_{aaa,aaa} - \epsilon) \bullet)^+)\end{aligned}$$

$$\begin{aligned}\mathfrak{C}_{aaa}(z, \textcolor{red}{x}) &= zzz \textcolor{red}{x} (1 + z \textcolor{red}{x} + zz \textcolor{red}{x} + z \textcolor{red}{x} z \textcolor{red}{x} + z \textcolor{red}{x} z z \textcolor{red}{x} z \textcolor{red}{x} + z \textcolor{red}{x} z z \textcolor{red}{x} + zz \textcolor{red}{x} z \textcolor{red}{x} + \dots) \\ &= z^3 \textcolor{red}{x} \left( \epsilon + (C_{aaa,aaa}(z) \times \textcolor{red}{x})^+ \right) \\ &= \textcolor{red}{x} z^3 \left( 1 + \frac{\textcolor{red}{x} z + \textcolor{red}{x} z^2}{1 - (\textcolor{red}{x} z + \textcolor{red}{x} z^2)} \right) = \frac{\textcolor{red}{x} z^3}{1 - (\textcolor{red}{x} z + \textcolor{red}{x} z^2)}\end{aligned}$$

# Parsing of a text with respect to clusters

word  $h$ ,  $\mathcal{C} = \mathcal{C}_{h,h}$ , clusters  $\mathfrak{C}$

$$\mathfrak{C} = h + h.\mathcal{C} + h\mathcal{C}\mathcal{C} + h\mathcal{C}\mathcal{C}\mathcal{C} + \dots \implies \mathfrak{C}(z, \textcolor{red}{x}) = \frac{\textcolor{red}{x}h(z)}{1 - \textcolor{red}{x}(\mathcal{C}(z) - 1)}$$

When reading a random text  $T$ , at each position, either we read a letter of the alphabet  $A$ , either we begin a cluster  $\mathfrak{C}$ ,

$$\begin{aligned} T &= \epsilon + \textcolor{magenta}{A} + \mathfrak{C} + \textcolor{magenta}{AA} + A\mathfrak{C} + \mathfrak{C}A + \mathfrak{C}\mathfrak{C} + \textcolor{magenta}{AAA} + AA\mathfrak{C} + A\mathfrak{C}A + \mathfrak{C}AA + A\mathfrak{C}\mathfrak{C} + \dots \\ &= \text{Seq}(A + \mathfrak{C}) \end{aligned}$$

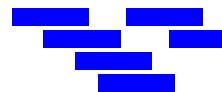
Therefore, counting with  $x$  the number of occurrences of the word  $h$ , we have, removing double counting by inclusion-exclusion,

$$F(z, x) = \frac{1}{1 - (\textcolor{magenta}{A}(z) + \mathfrak{C}(z, \textcolor{red}{x} - 1))} = \frac{1}{1 - \textcolor{magenta}{A}(z) - \frac{(\textcolor{red}{x}-1)h(z)}{1 - (\textcolor{red}{x}-1)(\mathcal{C}(z) - 1)}}$$

# Reduced set - (Goulden-Jackson - 1979, 1983)

$$\mathcal{U} = \{aba, bab, aa\}$$

$$bbbbbabababaabb$$



$$bbbbbabababaabb$$



$$bbbbbabababaabb$$



clusters  $\mathfrak{C}_{i,j}$  begin with  $w_i$  and finish with  $w_j$

$$\mathfrak{C}_{i,j} = w_i \mathcal{C}_{w_i, w_j} + \sum_{1 \leq k \leq 3} \mathfrak{C}_{i,k} \cdot (\mathcal{C}_{w_k, w_j} - \delta_{kj} \epsilon)$$

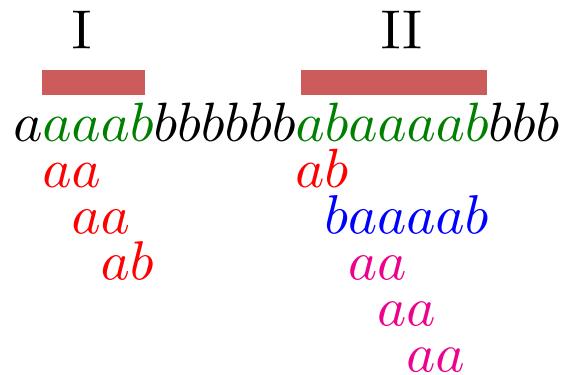
$$\mathfrak{C} = (w_1 \bullet, w_2 \bullet, w_3 \bullet) \left( \mathbf{I} - \begin{pmatrix} \mathcal{C}_{w_1, w_1} \bullet - \epsilon & \mathcal{C}_{w_1, w_2} \bullet & \mathcal{C}_{w_1, w_3} \bullet \\ \mathcal{C}_{w_2, w_1} \bullet & \mathcal{C}_{w_2, w_2} \bullet - \epsilon & \mathcal{C}_{w_2, w_3} \bullet \\ \mathcal{C}_{w_3, w_1} \bullet & \mathcal{C}_{w_3, w_2} \bullet & \mathcal{C}_{w_3, w_3} \bullet - \epsilon \end{pmatrix} \right)^{-1} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$

$$\mathcal{T} = \text{Seq}(\mathcal{A} + \mathfrak{C}) \implies \Phi(z, \textcolor{blue}{x}_1, \textcolor{red}{x}_2, \textcolor{teal}{x}_3) = \frac{1}{1 - A(z) - \mathfrak{C}(z, \textcolor{blue}{x}_1, \textcolor{red}{x}_2, \textcolor{teal}{x}_3)}$$

$$F(z, \textcolor{blue}{x}_1, \textcolor{red}{x}_2, \textcolor{teal}{x}_3) = \Phi(z, \textcolor{blue}{x}_1 - 1, \textcolor{red}{x}_2 - 1, \textcolor{teal}{x}_3 - 1) = \frac{1}{1 - A(z) - \mathfrak{C}(z, \textcolor{blue}{x}_1 - 1, \textcolor{red}{x}_2 - 1, \textcolor{teal}{x}_3 - 1)}$$

# General Case: Non Reduced Set of Words

$$\mathcal{U} = \{aa, ab, baaaab\}$$



create **clusters** of **distinguished occurrences**

**Reduced Cluster**, no induced factor occurrences (Cluster I). Count distinguished occurrences by  $t_i \rightsquigarrow x_i - 1$  (Inclusion-Exclusion principle)

**Induced Factor Occurrences**, occurrence *baaaab* of reduced Cluster II induces 0, 1, 2, or 3 distinguished **occurrences** *aa*. To recover the correct count of 8 **marked** configurations, count them by  $(1 + t_i)^3 \rightsquigarrow x_i^3$ .

# Inclusion-Exclusion: Non-Reduced Case

$$\mathcal{U} = \{u_1 = aa, u_2 = ab, u_3 = baaaab\}$$

I                    II

aaaabbbbbbbbabaaaabbbb

aa  
aa  
aa  
ab  
ab  
aa  
baaaab

I                    II

aaaabbbbbbbbabaaaabbbb

aa  
aa  
aa  
ab  
ab  
aa  
baaaab

I                    II

aaaabbbbbbbbabaaaabbbb

aa  
aa  
aa  
ab  
ab  
aa  
baaaab

I                    II

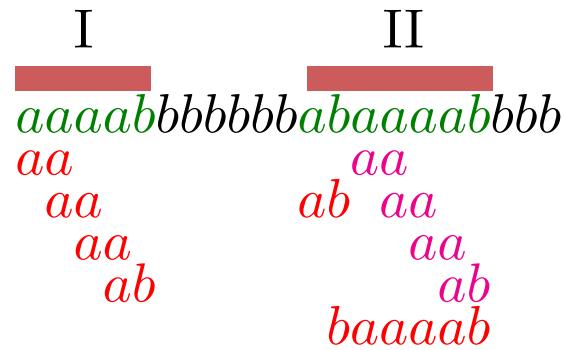
aaaabbbbbbbbabaaaabbbb

aa  
aa  
aa  
ab  
ab  
aa  
baaaab

1. select **distinguished** occurrences giving **clusters**
2. **forget induced factor** occurrences to get **reduced clusters**
3. **count induced factor** occurrences

# Counting Occurrences

$$\mathcal{U} = \{u_1 = aa, u_2 = ab, u_3 = baaaab\}$$



- Reduced Cluster I :  $f(t_1, t_2, t_3) = t_1^3 t_2$   
**distinguished:**  $t_i$
- Cluster II:  
1. **distinguished** and **reduced**:  $t_i$   
2. **induced**:  $(1 + t_i)$

# Right Extension Sets and Matrices

**Right Extension Set** of a pair of words  $(h_1, h_2)$

$$\mathcal{E}_{h_1, h_2} = \{ e \mid \text{there exists } e' \in \mathcal{A}^+ \text{ such that } h_1 e = e' h_2 \text{ with } 0 < |e| < |h_2| \}.$$

if  $h_1 \neq h_2$  have no factor relation,  $\mathcal{E}_{h_1, h_2} = \mathcal{C}_{h_1, h_2}$  but  $\mathcal{E}_{h, h} = \mathcal{C}_h - \epsilon$

**Right Extension Matrix** of a vector of words  $\mathbf{u} = (u_1, \dots, u_r)$

$$\mathcal{E}_{\mathbf{u}} = (\mathcal{E}_{u_i, u_j})_{1 \leq i, j \leq r}.$$

## Examples

$$\mathbf{u}_1 = (aba, ab) \Rightarrow \mathcal{E}_{\mathbf{u}_1} = \begin{pmatrix} ba & b \\ \emptyset & \emptyset \end{pmatrix} \quad \mathcal{E}_{ab, aba} = \emptyset \quad \begin{cases} ab\textcolor{blue}{a} = \textcolor{green}{|aba} \\ \textcolor{green}{e}' = \epsilon \notin \mathcal{A}^+ \end{cases}$$

$$\mathbf{u}_2 = (aaaa, aaa) \Rightarrow \mathcal{E}_{\mathbf{u}_2} = \begin{pmatrix} a+a^2+a^3 & a+a^2 \\ \textcolor{magenta}{a^2+a^3} & a+a^2 \end{pmatrix} \quad \begin{cases} a \notin \mathcal{E}_{aaa, aaaa} & aaa.\textcolor{blue}{a} = \textcolor{green}{|aaaa} \\ aa \in \mathcal{E}_{aaa, aaaa} & aaa.\textcolor{blue}{aa} = \textcolor{green}{a}.aaaa \end{cases}$$

# Counting Induced Words

$$\mathcal{U} = \{ u_1 = \textcolor{violet}{aa}, u_2 = \textcolor{red}{baaaabaaaab}\} \qquad \mathcal{E}_{u_2,u_2} = \{aaaab,aaaabaaaab\}$$

$$\begin{matrix} b\textcolor{blue}{aaaabaaaabaaaab} \\ \textcolor{green}{baaaabaaaab}\textcolor{magenta}{aaaaab} \end{matrix} \qquad N_{2,1}(\textcolor{blue}{6}) = \textcolor{blue}{9}-\textcolor{green}{6}=\textcolor{magenta}{3}$$

$$\begin{matrix} b\textcolor{blue}{aaaabaaaabaaaab} \\ \textcolor{green}{baaaab}\textcolor{magenta}{aaaaab}\textcolor{blue}{aaaaab} \end{matrix} \qquad N_{2,1}(\textcolor{blue}{11}) = \textcolor{blue}{9}-\textcolor{green}{3}=\textcolor{magenta}{6}$$

$$N_{\textcolor{red}{i},\textcolor{magenta}{j}}(\textcolor{blue}{k}) = \left| u_i \right|_j - \left| \textcolor{violet}{u}_{\textcolor{red}{i}}[1\dots |u_i|- \textcolor{blue}{k}] \right|_j.$$

$$\langle \mathcal{E}_{u_2,u_2} \rangle_{\textcolor{pink}{2}} = \pi_a^4 \pi_b z^5 (t_1+1)^{\textcolor{violet}{3}} t_2 + \pi_a^8 \pi_b^2 z^{10} (t_1+1)^6 t_2$$

# Formal Setting

$N_{i,j}(k)$  counts the number of occurrences of  $u_j$  factor of  $u_i$  and ending in the last  $k$  positions of  $u_i$

$$N_{i,j}(k) = |u_i|_j - |u_i[1 \dots |u_i| - k]|_j.$$

$\langle s \rangle_i$  **formal weight** of a **suffix** of word  $u_i$

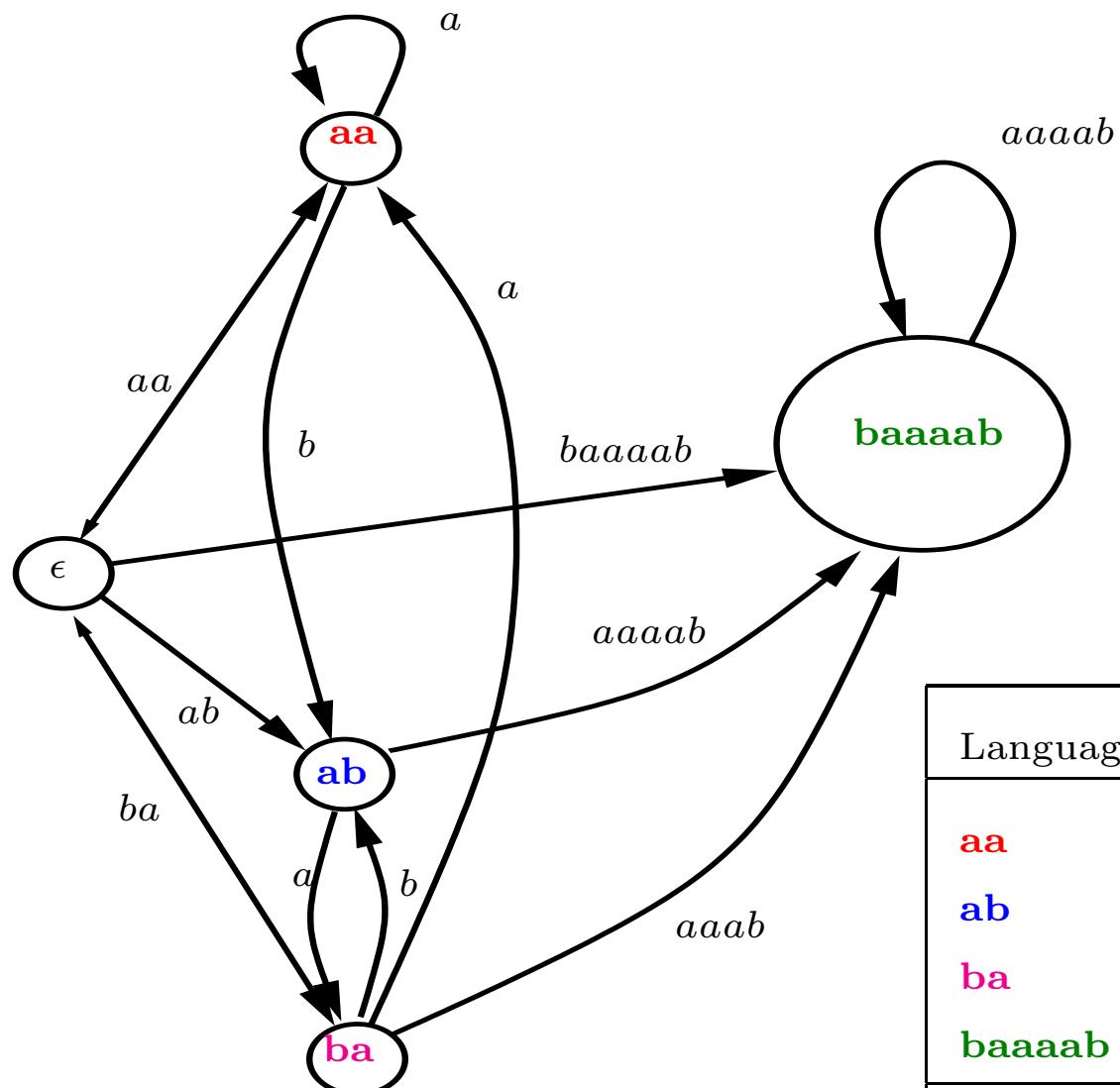
$$\langle s \rangle_i = \pi(s) z^{|s|} t_i \prod_{m \neq i} (t_m + 1)^{N_{i,m}(|s|)}.$$

extension to a set of words  $S$  which are suffixes of  $u_i$

$$\langle S \rangle_i = \sum_{s \in S} \langle s \rangle_i.$$

$$\mathcal{E}_{i,j} \quad \leadsto \quad \langle \mathcal{E}_{i,j} \rangle_j$$

# Right Extension Graph



$$\mathcal{U} = \{\mathbf{aa}, \mathbf{ab}, \mathbf{ba}, \mathbf{baaaab}\}$$

Language	G. F.
$\mathbf{aa}$	$t_1 z^2$
$\mathbf{ab}$	$t_2 z^2$
$\mathbf{ba}$	$t_3 z^2$
$\mathbf{baaaab}$	$t_4 z^6$
$\mathcal{E}_{\mathbf{ab}, \mathbf{ba}} = \{a\}$	$t_3 z$
$\mathcal{E}_{\mathbf{ba}, \mathbf{baaaab}} = \{aaab\}$	$(1 + t_1)^2 (1 + t_2) t_4 z^4$
$\mathcal{E}_{\mathbf{baaaab}, \mathbf{baaaab}} = \{aaaaab\}$	$(1 + t_1)^3 (1 + t_2) t_4 z^5$

# Putting Things Together

$$\text{Let } \langle \mathbf{u} \rangle = (\langle u_1 \rangle_1, \dots, \langle u_r \rangle_r) \quad \text{and} \quad \langle \mathcal{E}_{\mathbf{u}} \rangle = \begin{pmatrix} \dots & \dots & \dots \\ \dots & \langle \mathcal{E}_{i,j} \rangle_j & \dots \\ \dots & \dots & \dots \end{pmatrix}$$

**Proposition I.** *The generating function  $\mathfrak{C}(z, \mathbf{t})$  of clusters built from the set  $\mathcal{U} = \{u_1, \dots, u_r\}$  is given by*

$$\mathfrak{C}(z, \mathbf{t}) = \langle \mathbf{u} \rangle \cdot \left( \mathbb{I} - \langle \mathcal{E}_{\mathbf{u}} \rangle \right)^{-1} \cdot \begin{pmatrix} 1 \\ \vdots \\ 1 \end{pmatrix},$$

where  $\mathbf{u} = (u_1, \dots, u_r)$ ,  $\mathbf{t} = (t_1, \dots, t_r)$

**Proposition II.** *The generating function  $F(x, \mathbf{x})$  counting matches of a non-reduced set of words is*

$$F(z, \mathbf{x}) = \frac{1}{1 - z - \mathfrak{C}(z, \mathbf{x} - \mathbf{1})}$$

# Examples

$$\mathcal{U} = \{u\}$$

$$\mathfrak{C}(z, t) = \frac{t\langle u \rangle}{1 - t\langle \mathcal{E}_u \rangle} = \frac{t\pi(u)z^{|u|}}{1 - t(C(z) - 1)}$$

$$\mathcal{U} = \{u_1, u_2\}$$

$$\mathfrak{C}(z, t_1, t_2)$$

$$= \frac{t_1\langle u_1 \rangle_1 + t_2\langle u_2 \rangle_2 - t_1t_2(\langle u_1 \rangle_1 [\langle \mathcal{E}_{2,2} \rangle_2 - \langle \mathcal{E}_{1,2} \rangle_2] + \langle u_2 \rangle_2 [\langle \mathcal{E}_{1,1} \rangle_1 - \langle \mathcal{E}_{2,1} \rangle_1])}{1 - t_2\langle \mathcal{E}_{2,2} \rangle_2 - t_1\langle \mathcal{E}_{1,1} \rangle_1 + t_1t_2(\langle \mathcal{E}_{1,1} \rangle_1 \langle \mathcal{E}_{2,2} \rangle_2 - \langle \mathcal{E}_{2,1} \rangle_1 \langle \mathcal{E}_{1,2} \rangle_2)}$$

# Algorithmic computation

INIT( $\mathcal{A}_{\mathcal{U}}$ )

```

1  for  $i \leftarrow 1$  to  $r$  do
2       $f_i(u_i) \leftarrow 1$ 
3  for  $w \in \text{Pref}(\mathcal{U})$  by a postorder traversal of the tree do
4      for  $i \leftarrow 1$  to  $r$  do
5          for  $\alpha \in \mathcal{A}$  such that  $w \cdot \alpha \in \text{Pref}(u_i)$  do
6               $f_i(w) \leftarrow \pi(\alpha) z f_i(w \cdot \alpha) \prod_{j \neq i} (1 + t_j)^{\llbracket u_j \text{ suffix of } w \cdot \alpha \rrbracket}$ 
7  return  $(f_i)_{1 \leq i \leq r}$ 
```

BUILD-EXTENSION-MATRIX( $\mathcal{A}_{\mathcal{U}}$ )

```

1   $\triangleright$  Initialize the matrix  $(\mathcal{E}_{i,j})_{1 \leq i,j \leq r}$ 
2  for  $i \leftarrow 1$  to  $r$  do
3      for  $j \leftarrow 1$  to  $r$  do
4           $\mathcal{E}_{i,j} \leftarrow 0$ 
5   $\triangleright$  Compute the maps  $(f_i(w))$  for  $i = 1..r$  and  $w \in \text{Pref}(\mathcal{U})$ 
6   $(f_i)_{1 \leq i \leq r} \leftarrow \text{INIT}(\mathcal{A}_{\mathcal{U}})$ 
7   $\triangleright$  Main loop
8  for  $i \leftarrow 1$  to  $r$  do
9       $v \leftarrow u_i$ 
10     do      for  $j \leftarrow 1$  to  $r$  do
11          $\mathcal{E}_{i,j} \leftarrow \mathcal{E}_{i,j} + f_j(v)$ 
12          $v \leftarrow \text{Border}(v)$ 
13     while  $v \neq \epsilon$ 
14  return  $E$ 
```

Time complexity of the main loop  $O(s \times r^2)$ , where  $r$  is the number of words and  $s$  is the length of the longest suffix chain

(sequence  $(u_1 = u, u_2 = \text{Border}(u_1), u_3 = \text{Border}(u_2), \dots, u_s = \text{Border}(u_{s-1}) = \epsilon)$ )

# Complexity

	Inclusion-Exclusion	Automaton
Generating Function	$O(M(l))$	$O(l^2)$
$[z^n]$ Asymptotics	$O(l)$	$O(l)$
$[z^n]$ Exact	$O(\log(n)M(l))$	$O(\log(n)M(l))$

$M(l)$  is the cost of **multiplying by FFT two univariate polynomials of size  $l$**  and we assume that the **number of words  $r$**  is  $o(l)$

Up-to-date FFT algorithms give

$$M(l) = O(l \log l \log \log l)$$