

# A survey on Riordan arrays

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# Outline

- 1 Some history
- 2 Main properties of Riordan arrays
- 3 Riordan arrays and binary words avoiding a pattern
- 4 Riordan arrays, combinatorial sums and recursive matrices

## A previous seminar

- I'm very sorry to have not met P. Flajolet in the recent years.

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## References -1-

- 1 D. G. Rogers. Pascal triangles, Catalan numbers and renewal arrays. *Discrete Mathematics*, 22: 301–310, 1978.

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- 1 D. G. Rogers. Pascal triangles, Catalan numbers and renewal arrays. *Discrete Mathematics*, 22: 301–310, 1978.
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- 3 R. Sprugnoli. Riordan arrays and combinatorial sums. *Discrete Mathematics*, 132: 267–290, 1994.
- 4 D. Merlini, D. G. Rogers, R. Sprugnoli, and M. C. Verri. On some alternative characterizations of Riordan arrays. *Canadian Journal of Mathematics*, 49(2): 301–320, 1997.



## References -2-

- 1 T. X. He and R. Sprugnoli. Sequence characterization of Riordan arrays. *Discrete Mathematics*, 309: 3962–3974, 2009.

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- 3 A. Luzón, D. Merlini, M. A. Morón, R. Sprugnoli. Identities induced by Riordan arrays. *Linear Algebra and its Applications*, 436: 631-647, 2012.

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The bibliography on the subject is vast and still growing.

## Definition in terms of $d(t)$ and $h(t)$

- A *Riordan array* is a pair

$$D = \mathcal{R}(d(t), h(t))$$

in which  $d(t)$  and  $h(t)$  are formal power series such that  $d(0) \neq 0$  and  $h(0) = 0$ ; if  $h'(0) \neq 0$  the Riordan array is called *proper*.

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- The pair defines an infinite, lower triangular array  $(d_{n,k})_{n,k \in \mathbb{N}}$  where:

$$d_{n,k} = [t^n]d(t)(h(t))^k$$

# An example: the Pascal triangle

$$P = \mathcal{R} \left( \frac{1}{1-t}, \frac{t}{1-t} \right)$$

$$d_{n,k} = [t^n] \frac{1}{1-t} \cdot \frac{t^k}{(1-t)^k} = [t^{n-k}] (1-t)^{-k-1} = \binom{n}{k}$$

$n/k$	0	1	2	3	4	5
0	1					
1	1	1				
2	1	2	1			
3	1	3	3	1		
4	1	4	6	4	1	
5	1	5	10	10	5	1

## An example: the Catalan triangle

$$C = \mathcal{R} \left( \frac{1 - \sqrt{1 - 4t}}{2t}, \frac{1 - \sqrt{1 - 4t}}{2} \right)$$

$$d_{n,k} = [t^n]d(t)(h(t))^k = [t^{n+1}] \left( \frac{1 - \sqrt{1 - 4t}}{2} \right)^{k+1} = \frac{k+1}{n+1} \binom{2n-k}{n-k}$$

$n/k$	0	1	2	3	4	5
0	1					
1	1	1				
2	2	2	1			
3	5	5	3	1		
4	14	14	9	4	1	
5	42	42	28	14	5	1



# The Group structure

$$\text{Product: } \mathcal{R}(d(t), h(t)) * \mathcal{R}(a(t), b(t)) = \mathcal{R}(d(t)a(h(t)), b(h(t)))$$

$$\text{Identity: } \mathcal{R}(1, t)$$

$$\text{Inverse: } \mathcal{R}(d(t), h(t))^{-1} = \mathcal{R}\left(\frac{1}{d(\bar{h}(t))}, \bar{h}(t)\right)$$

$$h(\bar{h}(t)) = \bar{h}(h(t)) = t$$

## Pascal triangle: product and inverse

$$P = \mathcal{R} \left( \frac{1}{1-t}, \frac{t}{1-t} \right)$$

$$\begin{aligned} P * P &= \mathcal{R} \left( \frac{1}{1-t}, \frac{t}{1-t} \right) * \mathcal{R} \left( \frac{1}{1-t}, \frac{t}{1-t} \right) = \\ &= \mathcal{R} \left( \frac{1}{1-t} \frac{1-t}{1-2t}, \frac{t}{1-t} \frac{1-t}{1-2t} \right) = \mathcal{R} \left( \frac{1}{1-2t}, \frac{t}{1-2t} \right). \end{aligned}$$

$$P^{-1} = \mathcal{R} \left( \frac{1}{1+t}, \frac{t}{1+t} \right)$$

# Subgroups

## APPELL

$$\mathcal{R}(d(t), t) * \mathcal{R}(a(t), t) = \mathcal{R}(d(t)a(t), t)$$

$$\mathcal{R}(d(t), t)^{-1} = \mathcal{R}\left(\frac{1}{d(t)}, t\right)$$

## LAGRANGE

$$\mathcal{R}(1, h(t)) * \mathcal{R}(1, b(t)) = \mathcal{R}(1, h(b(t)))$$

$$\mathcal{R}(1, h(t))^{-1} = \mathcal{R}(1, \bar{h}(t))$$

*RENEWAL*       $d(t) = h(t)/t$

*HITTING – TIME*       $d(t) = \frac{th'(t)}{h(t)}$

# Inversion of Riordan arrays

$$\mathcal{R}(d(t), h(t))^{-1} = \mathcal{R}\left(\frac{1}{d(\bar{h}(t))}, \bar{h}(t)\right)$$

**Every Riordan array is the product of an Appell and a Lagrange Riordan array**

$$\mathcal{R}(d(t), h(t)) = \mathcal{R}(d(t), t) * \mathcal{R}(1, h(t))$$

**From this fact we obtain the formula for the inverse Riordan array**

# Pascal triangle: construction by columns

$d(t)h(t)^k$  is the g.f. of column  $k$

$$\frac{1}{1-t}, \quad \frac{t}{(1-t)^2}, \quad \frac{t^2}{(1-t)^3}, \dots$$

$n/k$	0	1	2	3	4	5
0	1					
1	1	1				
2	1	2	1			
3	1	3	3	1		
4	1	4	6	4	1	
5	1	5	10	10	5	1

## Pascal triangle: construction by rows

$$\begin{array}{ccc}
 n & \textcircled{1} & \textcircled{1} \\
 n+1 & & \bullet \\
 & k & k+1
 \end{array}$$

$$\binom{n+1}{k+1} = \binom{n}{k} + \binom{n}{k+1}$$

# The $A$ and $Z$ sequences

An alternative definition, is in terms of the so-called  $A$ -sequence and  $Z$ -sequence, with generating functions  $A(t)$  and  $Z(t)$  satisfying the relations:

$$h(t) = tA(h(t)), \quad d(t) = \frac{d_0}{1 - tZ(h(t))} \quad \text{with} \quad d_0 = d(0).$$

$$d_{n+1,k+1} = a_0 d_{n,k} + a_1 d_{n,k+1} + a_2 d_{n,k+2} + \dots$$

$$d_{n+1,0} = z_0 d_{n,0} + z_1 d_{n,1} + z_2 d_{n,2} + \dots$$

Pascal triangle:  $A$ -sequence  $1, 1, 0, 0, \dots \implies A(t) = 1 + t$

## The A-sequence for the Catalan triangle

$n/k$	0	1	2	3	4	5	6	7
0	1							
1	1	1						
2	2	2	1					
3	<b>5</b>	<b>5</b>	<b>3</b>	<b>1</b>				
4	14	<b>14</b>	9	4	1			
5	42	42	28	14	5	1		
6	132	132	<b>90</b>	<b>48</b>	<b>20</b>	<b>6</b>	<b>1</b>	
7	429	429	297	<b>165</b>	75	27	7	1

A-sequence  $1, 1, 1, 1, \dots \implies A(t) = \frac{1}{1-t}$



# Rogers' Theorem - 1978

The  $A$ -sequence is unique and only depends on  $h(t)$

$$h(t) = tA(h(t))$$

**Pascal**  $h(t) = t(1 + h(t))$

$$h_P(t) = \frac{t}{1-t}$$

**Catalan**  $h(t) = t \frac{1}{1-h(t)}$

$$h_C(t) = \frac{1 - \sqrt{1-4t}}{2}.$$

# The $B$ -sequence: $B(t) = A(t)^{-1}$

$d_{n,k}$  linearly depends on the elements of row  $n + 1$

$n/k$	0	1	2	3	4	5
0	1					
1	1	1				
2	1	2	1			
3	1	3	3	1		
4	1	4	6	4	1	
5	1	5	10	10	5	1

$$\sum_{j=0}^n (-1)^j \binom{n+1}{k+j+1} = \binom{n}{k}$$

## A-approach to R.a.'s

$$\text{Product } A_3(t) = A_2(t)A_1\left(\frac{t}{A_2(t)}\right)$$

$$\text{Inverse } A^*(t) = \left[ \frac{1}{A(y)} \mid y = tA(y) \right]$$

$$A_{P^*C}(t) = \frac{1}{1-t} \left[ 1+y \mid y = t(1-t) \right] = \frac{1+t-t^2}{1-t}$$

$$A_{C^*P}(t) = (1+t) \left[ \frac{1}{1-y} \mid y = \frac{t}{1+t} \right] = (1+t)^2$$

$$A_{P^{-1}}(t) = \left[ \frac{1}{1+y} \mid y = t(1+y) \right] = 1-t$$

Pascal triangle: the  $A$ -matrix (not unique)

$n/k$	0	1	2	3	4	5
0	1					
1	1	1				
2	1	2	1			
3	1	3	3	1		
4	1	4	6	4	1	
5	1	5	10	10	5	1

$$P^{[0]}(t) = 1 \quad P^{[1]}(t) = 1 + t$$

$$A(t) = \frac{P^{[0]}(t) + \sqrt{P^{[0]}(t)^2 + 4tP^{[1]}(t)}}{2}$$

$$A(t) = \frac{1 + \sqrt{1 + 4t + 4t^2}}{2} = 1 + t$$

$$n-1 \quad \textcircled{1} \quad \textcircled{1}$$

$$n \quad \textcircled{1}$$

$$n+1 \quad \bullet$$

$$k \quad k+1$$

# The $A$ -matrix in general

$$d_{n+1,k+1} = \sum_{i \geq 0} \sum_{j \geq 0} \alpha_{i,j} d_{n-i,k+j} + \sum_{j \geq 0} \rho_j d_{n+1,k+j+2}.$$

Matrix  $(\alpha_{i,j})_{i,j \in \mathbb{N}}$  is called the  $A$ -matrix of the Riordan array. If, for  $i \geq 0$ :

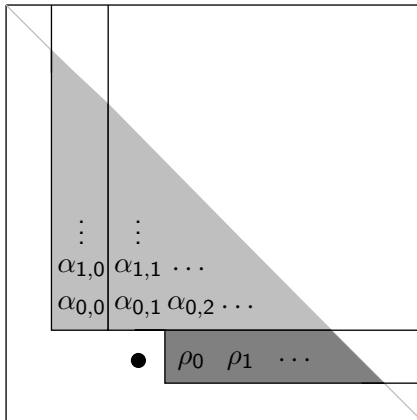
$$P^{[i]}(t) = \alpha_{i,0} + \alpha_{i,1}t + \alpha_{i,2}t^2 + \alpha_{i,3}t^3 + \dots$$

and  $Q(t)$  is the generating function for the sequence  $(\rho_j)_{j \in \mathbb{N}}$ , then we have:

$$\frac{h(t)}{t} = \sum_{i \geq 0} t^i P^{[i]}(h(t)) + \frac{h(t)^2}{t} Q(h(t)).$$

$$A(t) = \sum_{i \geq 0} t^i A(t)^{-i} P^{[i]}(t) + tA(t)Q(t).$$

# A graphical representation of the $A$ -matrix



# Binary words avoiding a pattern

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# Binary words avoiding a pattern

- We consider the language of binary words with no occurrence of a pattern  $\mathfrak{p} = p_0 \cdots p_{h-1}$ .
- The problem of determining the generating function counting the number of words with respect to their length has been studied by several authors.
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  - 2 R. Sedgewick and P. Flajolet. *An Introduction to the Analysis of Algorithms*. Addison-Wesley, Reading, MA, 1996.
- The fundamental notion is that of the *autocorrelation vector* of bits  $c = (c_0, \dots, c_{h-1})$  associated to a given  $\mathfrak{p}$ .

# The pattern $p = 00011$

0 0 0 1 1 | Tails

---

# The pattern $p = 00011$

$$\begin{array}{ccccc|cccc}
 0 & 0 & 0 & 1 & 1 & & & & & & \\
 0 & 0 & 0 & 1 & 1 & & & & & & \text{Tails} \\
 \hline
 & & & & & & & & & & 1
 \end{array}$$

# The pattern $p = 00011$

0	0	0	1	1		Tails	
0	0	0	1	1			1
	0	0	0	1	1		0

The pattern  $p = 00011$ 

0	0	0	1	1										
0	0	0	1	1										
	0	0	0	1	1									
		0	0	0	1	1								

# The pattern $p = 00011$

0	0	0	1	1		Tails			
0	0	0	1	1					1
	0	0	0	1	1				0
		0	0	0	1	1			0
			0	0	0	1	1		0



# The pattern $p = 00011$

0	0	0	1	1	Tails					
0	0	0	1	1						1
	0	0	0	1	1					0
		0	0	0	1	1				0
			0	0	0	1	1			0
				0	0	1	1			0

The autocorrelation vector is then  $c = (1, 0, 0, 0, 0)$

# The bivariate generating function

Let  $F_{n,k}^{[p]}$  denotes the number of words excluding the pattern and having  $n$  bits 1 and  $k$  bits 0, then we have

$$F^{[p]}(x, y) = \sum_{n,k \geq 0} F_{n,k}^{[p]} x^n y^k = \frac{C^{[p]}(x, y)}{(1 - x - y)C^{[p]}(x, y) + x^{n_1^{[p]}} y^{n_0^{[p]}}},$$

where  $n_1^{[p]}$  and  $n_0^{[p]}$  correspond to the number of ones and zeroes in the pattern and  $C^{[p]}(x, y)$  is the bivariate autocorrelation polynomial.

# An example with $p = 110011$

We have  $C^{[p]}(x, y) = 1 + x^2y^2 + x^3y^2$ , and:

$$F^{[p]}(x, y) = \frac{1 + x^2y^2 + x^3y^2}{(1 - x - y)(1 + x^2y^2 + x^3y^2) + x^4y^2}.$$

$n/k$	0	1	2	3	4	5	6	7
0	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>
1	<b>1</b>	<b>2</b>	3	4	5	6	7	8
2	<b>1</b>	3	<b>6</b>	10	15	21	28	36
3	<b>1</b>	4	10	<b>20</b>	35	56	84	120
4	<b>1</b>	5	14	33	<b>67</b>	122	205	324
5	<b>1</b>	6	19	50	114	<b>232</b>	432	750
6	<b>1</b>	7	25	72	181	404	<b>822</b>	1552
7	<b>1</b>	8	32	100	273	660	1451	<b>2952</b>

## ...the lower and upper triangular parts

$n/k$	0	1	2	3	4	5
0	<b>1</b>					
1	<b>2</b>	<b>1</b>				
2	<b>6</b>	3	<b>1</b>			
3	<b>20</b>	10	4	<b>1</b>		
4	<b>67</b>	33	14	5	<b>1</b>	
5	<b>232</b>	114	50	19	6	<b>1</b>

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2	<b>6</b>	3	<b>1</b>			
3	<b>20</b>	10	4	<b>1</b>		
4	<b>67</b>	35	15	5	<b>1</b>	
5	<b>232</b>	122	56	21	6	<b>1</b>

# Matrices $\mathcal{R}^{[p]}$ and $\mathcal{R}^{[\bar{p}]}$

- Let  $R_{n,k}^{[p]} = F_{n,n-k}^{[p]}$  with  $k \leq n$ . More precisely,  $R_{n,k}^{[p]}$  counts the number of words avoiding  $p$  with  $n$  bits one and  $n - k$  bits zero.

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- Let  $\bar{p} = \bar{p}_0 \dots \bar{p}_{h-1}$  be the conjugate pattern.

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- Let  $\bar{p} = \bar{p}_0 \dots \bar{p}_{h-1}$  be the conjugate pattern.
- We obviously have  $R_{n,k}^{[\bar{p}]} = F_{n,n-k}^{[\bar{p}]} = F_{n-k,n}^{[p]}$ , therefore, the matrices  $\mathcal{R}^{[p]}$  and  $\mathcal{R}^{[\bar{p}]}$  represent the lower and upper triangular part of the array  $\mathcal{F}^{[p]}$ , respectively.

# Riordan patterns

- When matrices  $\mathcal{R}^{[p]}$  and  $\mathcal{R}^{[\bar{p}]}$  are both Riordan arrays?



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# Riordan patterns

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- D. Merlini and R. Sprugnoli. Algebraic aspects of some Riordan arrays related to binary words avoiding a pattern. *Theoretical Computer Science*, 412 (27), 2988-3001, 2011.
- We say that  $\mathfrak{p} = p_0 \dots p_{h-1}$  is a Riordan pattern if and only if

$$C^{[\mathfrak{p}]}(x, y) = C^{[\mathfrak{p}]}(y, x) = \sum_{i=0}^{\lfloor (h-1)/2 \rfloor} c_{2i} x^i y^i, \quad |n_1^{[\mathfrak{p}]} - n_0^{[\mathfrak{p}]}| \in \{0, 1\}.$$

## Main Theorem -1-

The matrices  $\mathcal{R}^{[p]}$  and  $\mathcal{R}^{[\bar{p}]}$  are both Riordan arrays  
 $\mathcal{R}^{[p]} = (d^{[p]}(t), h^{[p]}(t))$  and  $\mathcal{R}^{[\bar{p}]} = (d^{[\bar{p}]}(t), h^{[\bar{p}]}(t))$  if and only if  $p$   
 is a Riordan pattern. Moreover we have:

$$d^{[p]}(t) = d^{[\bar{p}]}(t) = [x^0]F\left(x, \frac{t}{x}\right) = \frac{1}{2\pi i} \oint F\left(x, \frac{t}{x}\right) \frac{dx}{x}$$

and

$$h^{[p]}(t) = \frac{1 - \sum_{i=0}^{n_1^p-1} \alpha_{i,1} t^{i+1} - \sqrt{(1 - \sum_{i=0}^{n_1^p-1} \alpha_{i,1} t^{i+1})^2 - 4 \sum_{i=0}^{n_1^p-1} \alpha_{i,0} t^{i+1} (\sum_{i=0}^{n_1^p-1} \alpha_{i,2} t^{i+1} + 1)}}{2(\sum_{i=0}^{n_1^p-1} \alpha_{i,2} t^{i+1} + 1)}$$

## Main Theorem -2-

... where  $\delta_{i,j}$  is the Kronecker delta,

$$\sum_{i=0}^{n_1^p-1} \alpha_{i,0} t^i = \sum_{i=0}^{n_1^p-1} c_{2i} t^i - \delta_{-1, n_0^p - n_1^p} t^{n_1^p-1},$$

$$\sum_{i=0}^{n_1^p-1} \alpha_{i,1} t^i = - \sum_{i=0}^{n_1^p-1} c_{2(i+1)} t^i - \delta_{0, n_0^p - n_1^p} t^{n_1^p-1},$$

$$\sum_{i=0}^{n_1^p-1} \alpha_{i,2} t^i = \sum_{i=0}^{n_1^p-1} c_{2(i+1)} t^i - \delta_{1, n_0^p - n_1^p} t^{n_1^p-1},$$

and the coefficients  $c_i$  are given by the autocorrelation vector of  $\mathfrak{p}$ .  
An analogous formula holds for  $h^{[\mathfrak{p}]}(t)$ .

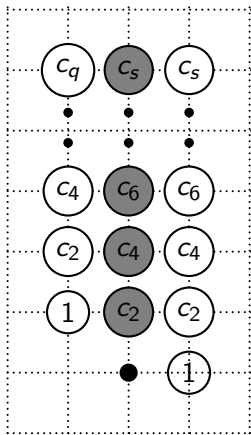
# A Corollary

Let  $p$  be a Riordan pattern. Then the Riordan array  $\mathcal{R}^{[p]}$  is characterized by the  $A$ -matrix defined by the following relation:

$$R_{n+1,k+1}^{[p]} = R_{n,k}^{[p]} + R_{n+1,k+2}^{[p]} - R_{n+1-n_1^p,k+1+n_0^p-n_1^p}^{[p]} + \\ - \sum_{i \geq 1} c_{2i} \left( R_{n+1-i,k+1}^{[p]} - R_{n-i,k}^{[p]} - R_{n+1-i,k+2}^{[p]} \right),$$

where the  $c_i$  are given by the autocorrelation vector of  $p$ .

# The $A$ -matrix corresponding to a Riordan pattern



The coefficients in the gray circles are negative,  $s = 2n_1^p$ ,  $q = 2(n_1^p - 1)$ . Moreover, we have to consider the contribution of

$$-R_{n+1-n_1^p, k+1+n_0^p-n_1^p}^{[p]}$$

# The case $n_1^{[p]} - n_0^{[p]} = 1$

By specializing the main result to the cases  $|n_1^p - n_0^p| \in \{0, 1\}$  and by setting  $C^{[p]}(t) = C^{[p]}(\sqrt{t}, \sqrt{t}) = \sum_{i \geq 0} c_{2i} t^i$ , we have the following explicit generating functions:

$$d^{[p]}(t) = \frac{C^{[p]}(t)}{\sqrt{C^{[p]}(t)^2 - 4tC^{[p]}(t)(C^{[p]}(t) - t^{n_0^p})}},$$

$$h^{[p]}(t) = \frac{C^{[p]}(t) - \sqrt{C^{[p]}(t)^2 - 4tC^{[p]}(t)(C^{[p]}(t) - t^{n_0^p})}}{2C^{[p]}(t)}.$$

The case  $n_1^{[p]} - n_0^{[p]} = 0$

$$d^{[p]}(t) = \frac{C^{[p]}(t)}{\sqrt{(C^{[p]}(t) + t^{n_0^p})^2 - 4tC^{[p]}(t)^2}},$$

$$h^{[p]}(t) = \frac{C^{[p]}(t) + t^{n_0^p} - \sqrt{(C^{[p]}(t) + t^{n_0^p})^2 - 4tC^{[p]}(t)^2}}{2C^{[p]}(t)}.$$



The case  $n_0^{[p]} - n_1^{[p]} = 1$

$$d^{[p]}(t) = \frac{C^{[p]}(t)}{\sqrt{C^{[p]}(t)^2 - 4tC^{[p]}(t)(C^{[p]}(t) - t^{n_1^p})}},$$

$$h^{[p]}(t) = \frac{C^{[p]}(t) - \sqrt{C^{[p]}(t)^2 - 4tC^{[p]}(t)(C^{[p]}(t) - t^{n_1^p})}}{2(C^{[p]}(t) - t^{n_1^p})}.$$

An example with  $p = 00011$ 

$n/k$	0	1	2	3	4	5
0	1					
1	2	1				
2	6	3	1			
3	18	10	4	1		
4	58	32	15	5	1	
5	192	106	52	21	6	1

$$d^{[p]}(t) = \frac{1}{\sqrt{1-4t+4t^3}}$$

$$h^{[p]}(t) = \frac{1 - \sqrt{1-4t+4t^3}}{2(1-t^2)}$$

$$R_{n+1,k+1}^{[p]} = R_{n,k}^{[p]} + R_{n+1,k+2}^{[p]} - R_{n-1,k+2}^{[p]}.$$

# The $A$ -sequence for $p = 00011$

- For  $p = 00011$ , we find after setting  $R(t) = \sqrt{1 + 4t^4 - 4t^3}$ :

$$A(t) = \frac{(2t^3 - t^2 - t - 1 - (t^2 + t + 1)R(t)) (2t^3 - \sqrt{2}\sqrt{2t^6 + 8t^4 - 12t^3 + 4} - (4 - 4t^3)R(t))}{8t^4(t-1)(t+1)}$$

$$= 1 + t + t^2 + t^4 + t^5 + 2t^7 + t^8 - t^9 + 5t^{10} - t^{11} - 4t^{12} + 16t^{13} - 14t^{14} - 8t^{15} + 57t^{16} - 83t^{17} + 15t^{18} + 197t^{19} + O(t^{20}).$$

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- In general, the Riordan arrays for binary words avoiding  $p$  are characterized by a complex  $A$ -sequence, while the  $A$ -matrix is quite simple. However, the presence of negative coefficients leads to non trivial combinatorial interpretations.

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- In general, the Riordan arrays for binary words avoiding  $p$  are characterized by a complex  $A$ -sequence, while the  $A$ -matrix is quite simple. However, the presence of negative coefficients leads to non trivial combinatorial interpretations.
- S. Bilotta, D. Merlini, E. Pergola, R. Pinzani. Pattern  $1^{j+1}0^j$  avoiding binary words. To appear in *Fundamenta Informaticae*.

# Formulas relative to whole classes of patterns

- $p = 1^{j+1}0^j$

$$d^{[p]}(t) = \frac{1}{\sqrt{1-4t+4t^{j+1}}}, \quad h^{[p]}(t) = \frac{1 - \sqrt{1-4t+4t^{j+1}}}{2}$$

- $p = 0^{j+1}1^j$

$$d^{[p]}(t) = \frac{1}{\sqrt{1-4t+4t^{j+1}}}, \quad h^{[p]}(t) = \frac{1 - \sqrt{1-4t+4t^{j+1}}}{2(1-t^j)}$$

- $p = 1^j 0^j$  and  $p = 0^j 1^j$

$$d^{[p]}(t) = \frac{1}{\sqrt{1-4t+2t^j+t^{2j}}}, \quad h^{[p]}(t) = \frac{1+t^j - \sqrt{1-4t+2t^j+t^{2j}}}{2}$$

- $p = (10)^j 1$

$$d^{[p]}(t) = \frac{\sum_{i=0}^j t^i}{\sqrt{1-2\sum_{i=1}^j t^i - 3\left(\sum_{i=1}^j t^i\right)^2}}, \quad h^{[p]}(t) = \frac{\sum_{i=0}^j t^i - \sqrt{1-2\sum_{i=1}^j t^i - 3\left(\sum_{i=1}^j t^i\right)^2}}{2\sum_{i=0}^j t^i}$$

# Riordan array summation

$$\sum_{k=0}^n d_{n,k} f_k = [t^n] d(t) f(h(t))$$

**Partial sum theorem:**

$$\sum_{k=0}^n f_k = [t^n] \frac{f(t)}{1-t}$$

**Euler transformation:**

$$\sum_{k=0}^n \binom{n}{k} f_k = [t^n] \frac{1}{1-t} f\left(\frac{t}{1-t}\right).$$

# A simple example: Harmonic numbers

$$\mathcal{G}\left(\frac{1}{n}\right) = \ln \frac{1}{1-t}$$

$$\mathcal{G}\left(\sum_{k=1}^n \frac{1}{k}\right) = \mathcal{G}(H_n) = \frac{1}{1-t} \ln \frac{1}{1-t}$$

$$\begin{aligned} & \sum_{k=1}^n \binom{n}{k} \frac{(-1)^{k-1}}{k} = \\ & = [t^n] \frac{1}{1-t} \left[ \ln \frac{1}{1+w} \mid w = \frac{t}{1-t} \right] = \\ & = [t^n] \frac{1}{1-t} \ln \frac{1}{1-t} = H_n. \end{aligned}$$



# General rules for binomial coefficients

$$\sum_k \binom{n+ak}{m+bk} f_k = [t^n] \frac{t^m}{(1-t)^{m+1}} f\left(\frac{t^{b-a}}{(1-t)^b}\right) \quad b > a$$

$$\sum_k \binom{n+ak}{m+bk} f_k = [t^m] (1+t)^n f(t^{-b}(1+t)^a) \quad b < 0$$

$$\begin{aligned} \sum_k \binom{n+k}{m+2k} \binom{2k}{k} \frac{(-1)^k}{k+1} &= [t^n] \frac{t^m}{(1-t)^{m+1}} \left[ \frac{\sqrt{1+4y}-1}{2y} \mid y = \frac{t}{(1-t)^2} \right] = \\ &= [t^{n-m}] \frac{1}{(1-t)^{m+1}} \left( \sqrt{1 + \frac{4t}{(1-t)^2}} - 1 \right) \frac{(1-t)^2}{2t} = [t^{n-m}] \frac{1}{(1-t)^m} = \binom{n-1}{m-1}. \end{aligned}$$

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- R. Sprugnoli. Riordan Array Proofs of Identities in Gould's Book.

## Recursive matrices

- A. Luzon, D. Merlini, M. A. Moron and R. Sprugnoli.  
Identities induced by Riordan arrays. *Linear Algebra and its Applications*, 436 (3), 631-647, 2012.

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$$d_{n,k} = [t^n]d(t)h(t)^k \quad n, k \in \mathbb{Z}$$

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- The introduction of recursive matrices simply extends the properties of Riordan arrays.

# The Pascal recursive matrix

$n \backslash k$	-6	-5	-4	-3	-2	-1	0	1	2	3	4	5	6
-6	1	0	0	0	0	0	0	0	0	0	0	0	0
-5	-5	1	0	0	0	0	0	0	0	0	0	0	0
-4	10	-4	1	0	0	0	0	0	0	0	0	0	0
-3	-10	6	-3	1	0	0	0	0	0	0	0	0	0
-2	5	-4	3	-2	1	0	0	0	0	0	0	0	0
-1	-1	1	-1	1	-1	1	0	0	0	0	0	0	0
0	0	0	0	0	0	0	1	0	0	0	0	0	0
1	0	0	0	0	0	0	1	1	0	0	0	0	0
2	0	0	0	0	0	0	1	2	1	0	0	0	0
3	0	0	0	0	0	0	1	3	3	1	0	0	0
4	0	0	0	0	0	0	1	4	6	4	1	0	0
5	0	0	0	0	0	0	1	5	10	10	5	1	0
6	0	0	0	0	0	0	1	6	15	20	15	6	1

# The Catalan recursive matrix

	-4	-3	-2	-1	0	1	2	3	4	5
-6	0	0	0	0	0	0	0	0	0	0
-5	0	0	0	0	0	0	0	0	0	0
-4	1	0	0	0	0	0	0	0	0	0
-3	-3	1	0	0	0	0	0	0	0	0
-2	0	-2	1	0	0	0	0	0	0	0
-1	-1	-1	-1	1	0	0	0	0	0	0
0	-3	-2	-1	0	1	0	0	0	0	0
1	-9	-5	-2	0	1	1	0	0	0	0
2	-28	-14	-5	0	2	2	1	0	0	0
3	-90	-42	-14	0	5	5	3	1	0	0
4	-297	-132	-42	0	14	14	9	4	1	0
5	-1001	-429	-132	0	42	42	28	14	5	1
6	-3432	-1430	-429	0	132	132	90	48	20	6

# Generalized Sums

**Identities with three parameters**  $k, n, m \in \mathbb{Z}$

$$d_{n+m, k+m} = \sum_{j=0}^{n-k} a_j^{(m)} d_{n, k+j} = \sum_{j=0}^{n-k} h_{j+m}^{(m)} d_{n-j, k}$$

$$a_j^{(m)} = [t^j] A(t)^m$$

$$h_{j+m}^{(m)} = [t^{j+m}] h(t)^m = [t^j] (h(t)/t)^m$$



# Generalized Sums for the Catalan triangle

$$\begin{aligned} \sum_{j=0}^{n-k} \binom{m+j-1}{j} \frac{k+j+1}{n+1} \binom{2n-j-k}{n-j-k} &= \\ &= \frac{k+m+1}{n+m+1} \binom{2n+m-k}{n-k}. \end{aligned}$$

$$\begin{aligned} \sum_{j=0}^{n-k} \frac{m}{m+2j} \binom{m+2j}{j} \frac{k+1}{n-j+1} \binom{2n-2j-k}{n-j-k} &= \\ &= \frac{k+m+1}{n+m+1} \binom{2n+m-k}{n-k} \end{aligned}$$

# Specializing the parameters

$$n \mapsto n, m \mapsto n, k \mapsto 0$$

$$\sum_{j=0}^n \frac{j+1}{n+1} \binom{n+j-1}{j} \binom{2n-j}{n-j} = \frac{n+1}{2n+1} \binom{3n}{n}$$

$$\sum_{j=0}^n \frac{n}{n+2j} \binom{n+2j}{j} \frac{1}{n-j+1} \binom{2n-2j}{n-j} = \frac{n+1}{2n+1} \binom{3n}{n}$$

$$n \mapsto 2n, m \mapsto n, k \mapsto n$$

$$\sum_{j=0}^n \frac{n+j+1}{2n+1} \binom{n+j-1}{j} \binom{3n-j}{n-j} = \frac{2n+1}{3n+1} \binom{4n}{n}$$

$$\sum_{j=0}^n \frac{n}{n+2j} \binom{n+2j}{j} \frac{n+1}{2n-j+1} \binom{3n-2j}{n-j} = \frac{2n+1}{3n+1} \binom{4n}{n}$$

## Work in progress: the complementary Riordan array

	-4	-3	-2	-1	0	1	2	3	4	5
-6	0	0	0	0	0	0	0	0	0	0
-5	0	0	0	0	0	0	0	0	0	0
-4	<b>1</b>	0	0	0	0	0	0	0	0	0
-3	<b>-3</b>	<b>1</b>	0	0	0	0	0	0	0	0
-2	<b>0</b>	<b>-2</b>	<b>1</b>	0	0	0	0	0	0	0
-1	<b>-1</b>	<b>-1</b>	<b>-1</b>	<b>1</b>	0	0	0	0	0	0
0	-3	-2	-1	0	1	0	0	0	0	0
1	-9	-5	-2	0	1	1	0	0	0	0
2	-28	-14	-5	0	2	2	1	0	0	0
3	-90	-42	-14	0	5	5	3	1	0	0
4	-297	-132	-42	0	14	14	9	4	1	0
5	-1001	-429	-132	0	42	42	28	14	5	1

$$D^\perp = \mathcal{R}(d(\bar{h}(t))\bar{h}'(t), \bar{h}(t)) = \mathcal{R}\left(\frac{1-2t}{1-t}, t(1-t)\right)$$

## End of the seminar

Thank you for your attention and for the invitation

Exercise: find the identities induced by Pascal triangle.

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- $$d_{n+m,k+m} = \sum_{j=0}^{n-k} a_j^{(m)} d_{n,k+j} = \sum_{j=0}^{n-k} h_{j+m}^{(m)} d_{n-j,k}$$

Exercise: find the identities induced by Pascal triangle.

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## Exercise: find the identities induced by Pascal triangle.

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Well! You have proved Vandermonde's identity

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$$a_j^{(m)} = [t^j](1+t)^m = \binom{m}{j}$$

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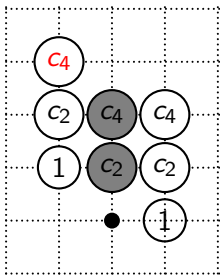
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Well! You have proved Vandermonde's identity

$$\binom{n+m}{k+m} = \sum_{j=0}^n \binom{m+j-1}{j} \binom{n-j}{k}.$$

# Exercise: find $A^{[p]}(t)$ for $p = 10101$

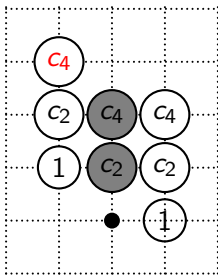
$$C^{[p]}(x, y) = 1 + xy + x^2y^2 \Rightarrow Q(t) = 1, \quad P^{[0]}(t) = P^{[1]}(t) = 1 - t + t^2$$



Moreover, we have to consider the contribution of  $-R_{n+1-n_1^p, k+1+n_0^p-n_1^p}^{[p]} = -R_{n-2, k}^{[p]}$ .

# Exercise: find $A^{[p]}(t)$ for $p = 10101$

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Moreover, we have to consider the contribution of  $-R_{n+1-n_1^p, k+1+n_0^p-n_1^p}^{[p]} = -R_{n-2, k}^{[p]}$ .

$$A(t) = \sum_{i \geq 0} t^i A(t)^{-i} P^{[i]}(t) + tA(t)Q(t) = 1 - t + t^2 + tA(t)^{-1}(1 - t + t^2) + tA(t)$$

$$A(t) = \frac{1 - t + t^2 - \sqrt{1 + 2t - 5t^2 + 6t^2 - 3t^4}}{2(1 - t)} = 1 + t + 3t^3 - 3t^4 + 12t^5 - 30t^6 + 93t^7 - 282t^8 + O(t^9)$$