

# Stochastic models for semi-structured document mining

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Collaboration with

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# Outline

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- Context
- Generative tree models
- 3 problems
  - Classification
  - Clustering
  - Document mapping
- Experiments
- Conclusion and future work
  - XML Document Mining Challenge

# Context - Machine learning in the structured domain

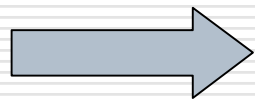
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- Model, Classify, cluster structured data
  - Domains: Chemistry, biology, XML, etc
  - Models: discriminant e.g. kernels, generative e.g. tree densities
- Predict structured outputs
  - Domains: natural language parsing, taxonomies, etc
  - Models: relational learning, large margin extensions
- Learn to associate structured representations aka Tree mapping
  - Domains: databases, semi-structured data

# Context- Machine learning in the structured domain

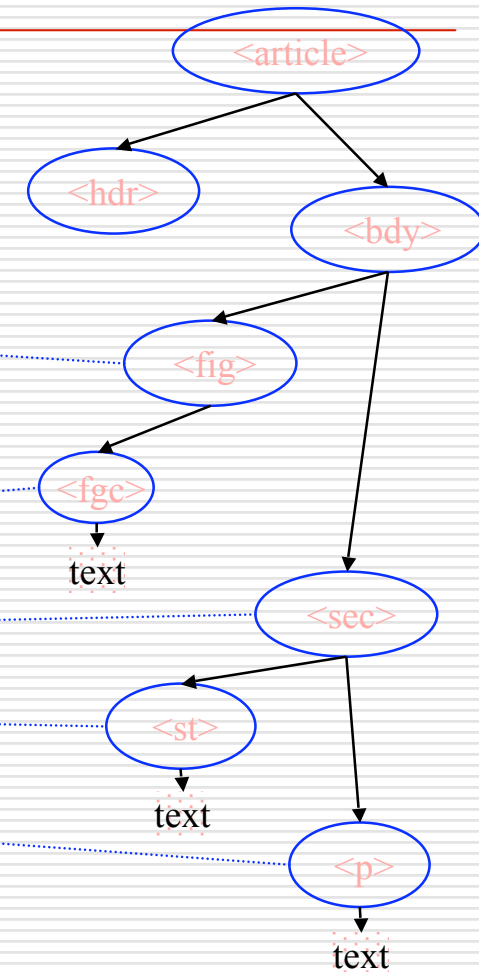
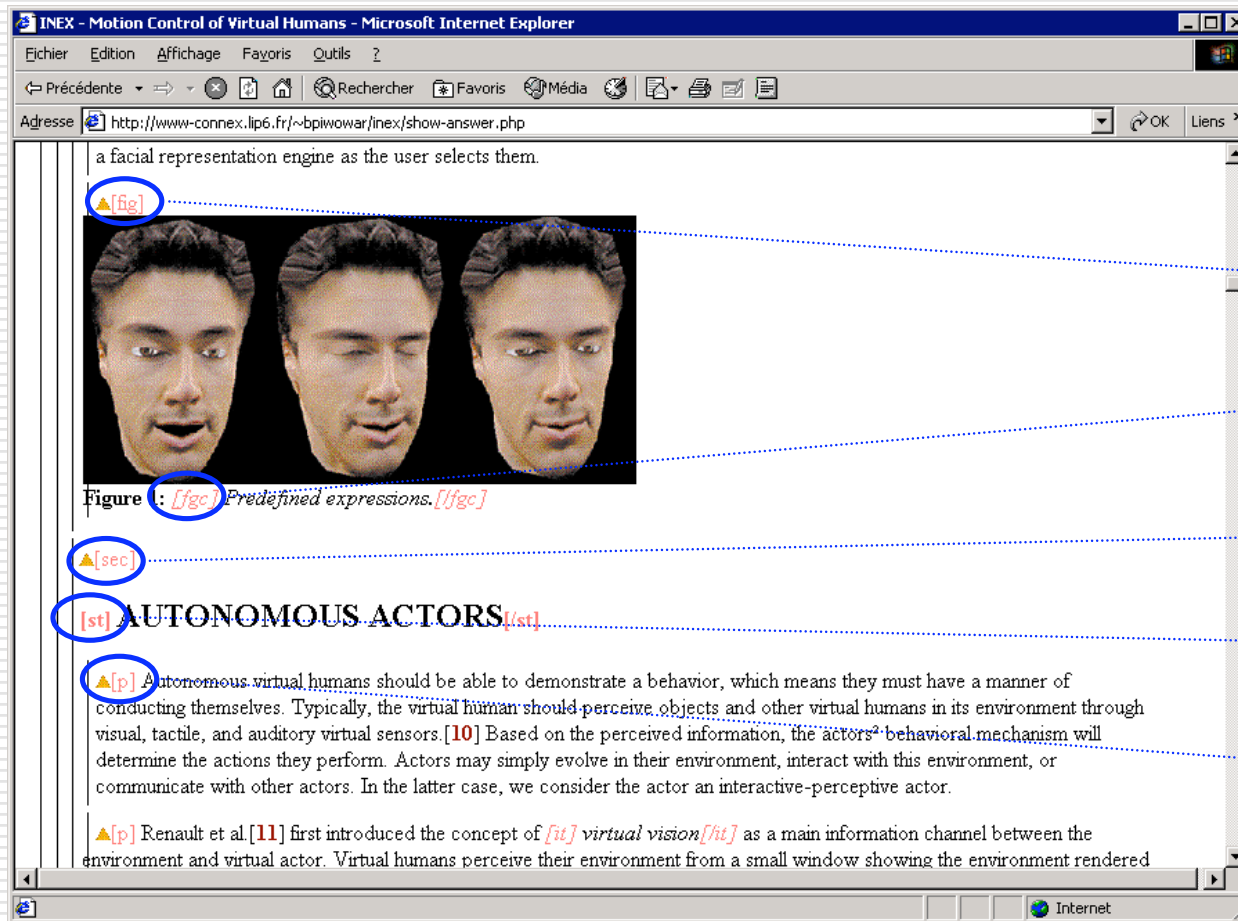
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- Structure only vs Structure + content
- Central **complexity** issue
  - Representation space (#words, #tags, #relations)
  - Search space for structured outputs - idem
  - Large corpora



**needs simple and approximate methods**

# Context-XML semi-structured documents



# Outline

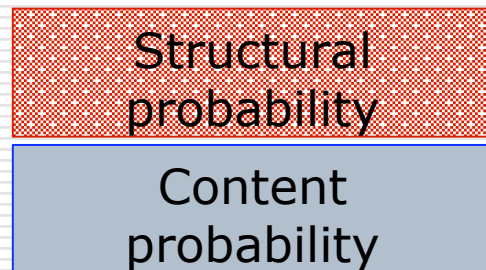
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# Document model

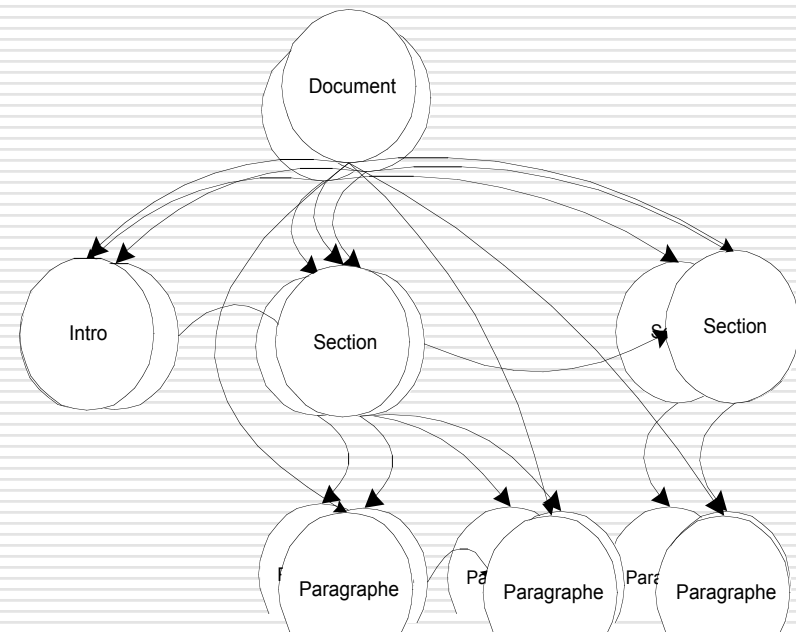
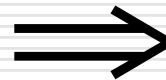
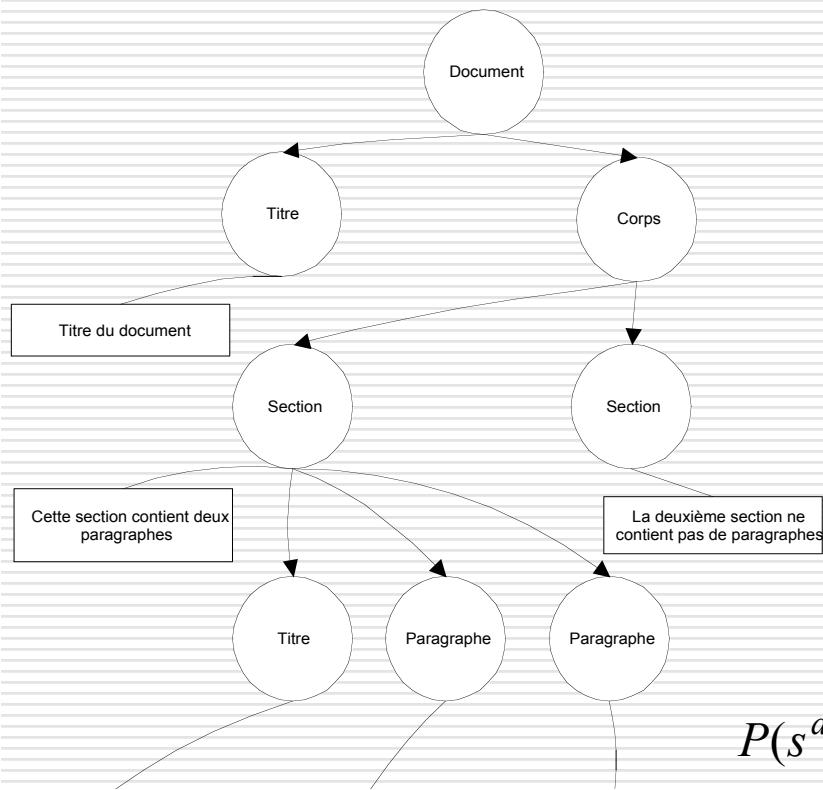
$$d = (s^d, t^d)$$

$$\begin{aligned} P(D = d / \Theta) &= P(S = s^d, T = t^d / \Theta) \\ &= P(S = s^d / \Theta) P(T = t^d / S = s^d, \Theta) \end{aligned}$$



# Document Model: Structure

## □ Belief Networks



$$P(s^d) = \prod_{i=1}^{|d|} P(s_i^d | (s_{1:i}^d)_{\text{parent}(s_i^d)}) \prod_{i=1}^{|d|} P(s_{si}^{i,d} | (s_{1:i}^d)_{\text{parent}(s_{si}^{i,d})}) \prod_{i=1}^{|d|} P(s_{si}^{i,d} | (s_{1:i}^d)_{\text{parent}(s_{si}^{i,d})})$$



# Document Model: Content

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- Model for each node

$$t_d = (t_d^1, \dots, t_d^{|d|})$$

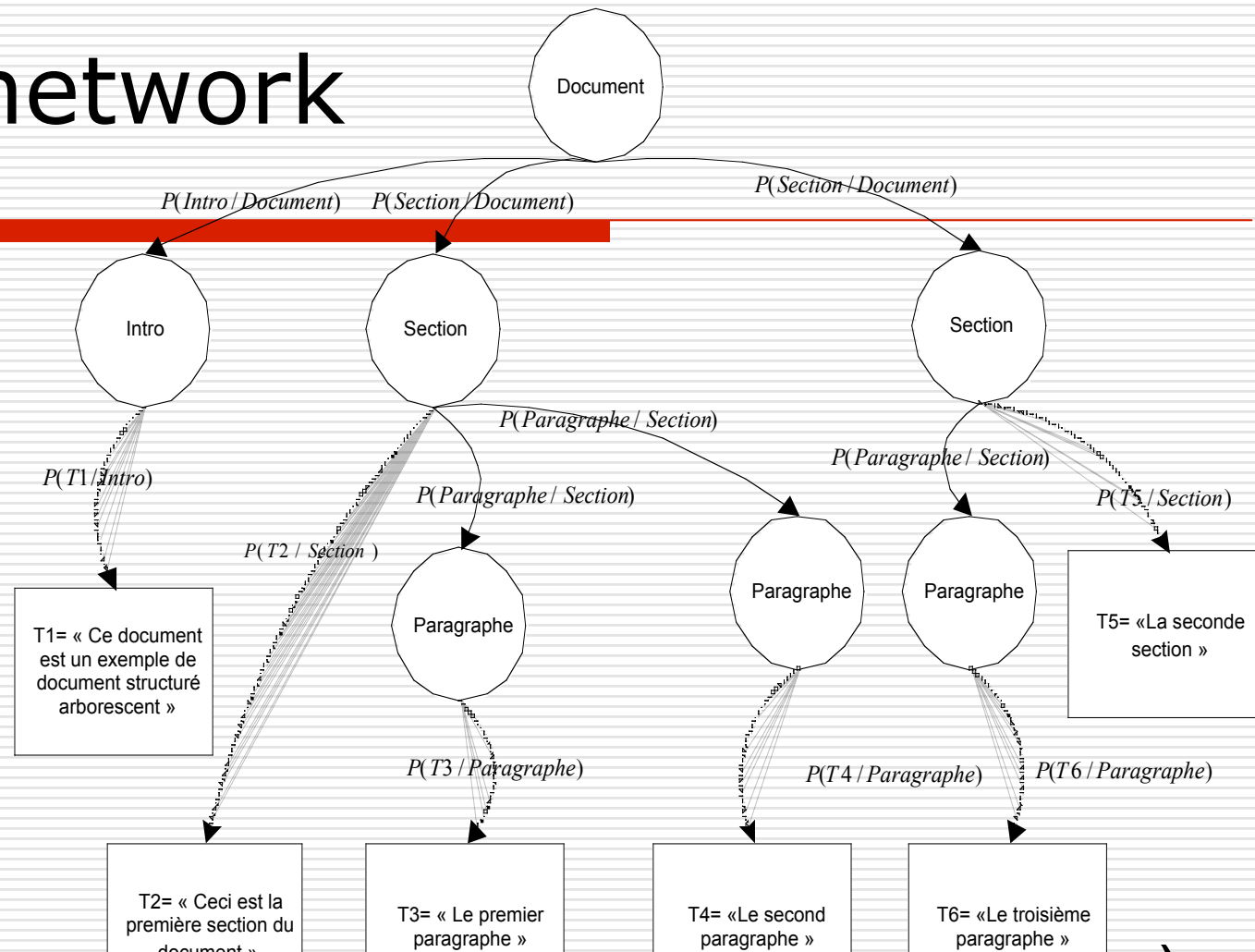
- 1st order dependency

$$P(t_d / s_d, \theta) = \prod_{i=1}^{|d|} P(t_d^i / s_d^i, \theta)$$

- Use of a local generative model for each label

$$P(t_d^i / s_d^i, \theta) = P(t_d^i / \theta_{s_d^i})$$

# Final network



$$P(d) = \left( P(\text{Intro} / \text{Document}) P(\text{Section} / \text{Document}) P(\text{Paragraphe} / \text{Section})^3 \right)$$

$$* P(\text{T1} / \text{Intro}) P(\text{T2} / \text{Section}) P(\text{T3} / \text{Paragraphe})$$

$$* P(\text{T4} / \text{Paragraphe}) P(\text{T5} / \text{Section}) P(\text{T6} / \text{Paragraphe})$$

# Different learning techniques

## □ Likelihood maximization

$$\begin{aligned} L &= \sum_{d \in D_{TRAIN}} \log P(d / \Theta) \\ &= \left\{ \sum_{d \in D_{TRAIN}} \log P(s^d / \Theta^s) \right\} + \left\{ \sum_{d \in D_{TRAIN}} \sum_{i=1}^{|d|} \log P(t_i^d / s_i^d, \Theta_{s_i^d}^t) \right\} \\ &= L_{structure} + L_{contenu} \end{aligned}$$

## □ Discriminant learning

$$\begin{aligned} P(c / x) &= \frac{1}{1 + e^{-\log \frac{P(x/c)}{P(x/\bar{c})}}} \\ &= \frac{1}{1 + e^{-\sum_{i=1}^n \log \frac{\theta_{x_i, pa(x_i)}^c}{\theta_{x_i, pa(x_i)}^{\bar{c}}}}} \end{aligned}$$

## □ Logistic function

### ■ Error minimization

# Fisher Kernel

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- Fisher Score :

$$U_X = \nabla_{\theta} \log P(X/\theta)$$

- **Hypothesis** : The gradient of the log-likelihood is informative about how much a feature « participate » to the generation of an example.
- Fisher Kernel :  $K(X, Y) = K(U_X, U_Y)$

# Use with the model

$$U_d = \nabla_{\Theta} \left( \log P(s^d / \Theta^s) + \log P(t^d / s^d, \Theta^t) \right) = \nabla_{\Theta} \log P(s^d / \Theta^s) + \sum_{l \in \Lambda} \nabla_{\Theta} \left( \sum_{i/s_i^d=l} \log P(t_i^d / s_i^d, \Theta^{t_l}) \right)$$

$$U_d = \nabla_{\Theta} \log P(s^d / \Theta^s) + \nabla_{\Theta} \log P(t_{i/s_i^d=l_1}^d / s_{i/s_i^d=l_1}^d, \Theta^{t_{l_1}}) + \dots + \nabla_{\Theta} \log P(t_{i/s_i^d=l_{|\Lambda|}}^d / s_{i/s_i^d=l_{|\Lambda|}}^d, \Theta^{t_{l_{|\Lambda|}}})$$

Sous-vecteur  
correspondant  
au gradient sur  
le modèle de  
structure

Sous-vecteur  
correspondant au  
gradient pour les  
nœuds de label  $l_1$

Sous-vecteur  
correspondant au  
gradient pour les  
nœuds de label  $l_{|\Lambda|}$

$$\nabla_{\Theta} \log P(t^d / s^d, \Theta^t)$$

# Remark

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- Fisher kernels: very large number of parameters
  - On INEX :
    - With flat models : 200 000 parameters
    - With structure models : 20 millions parameters

# Conclusion about this family of generative models

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- Natural setting for modeling semi structured multimedia documents
  - Structural probability (Belief network)
  - Content probability (local generative model)
- Learning with maximum likelihood, or cross-entropy
- Discriminant learning and Fisher Kernel

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# Classification

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- One model for each category
  
- 3 XML corpora + 1 multimedia corpus
  - INEX : 12 000 articles from IEEE
    - 18 journals
  - WebKB : Web pages (8K pages)
    - course, department, ...7 topics
  - WIPO : XML Documents of patents
    - categories of patents
  - NetProtect (European project) : 100 000 web pages
    - pornographic or not

# Categorization : Generative models

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		F1 micro	F1 macro
INEX	NB	0.59	0.605
	Structure	<b>0.619</b>	<b>0.622</b>
WebKB	NB	0.801	0.706
	Structure	<b>0.827</b>	<b>0.743</b>
WIPO	NB	0.662	0.565
	Structure	<b>0.677</b>	<b>0.604</b>

# Discriminant models

	F1 micro	F1 macro
NB	0.59	0.605
Structure model	0.619	0.622
SVM TF-IDF	0.534	0.564
Fisher kernel	<b>0.661</b>	<b>0.668</b>
Discriminant learning	0.575	0.600

INEX

	F1 micro	F1 macro
NB	0.662	0.565
Structure model	0.677	0.604
SVM TF-IDF	0.822	0.71
Fisher Kernel	<b>0.862</b>	<b>0.715</b>

WIPO

	F1 micro	F1 macro
NB	0.801	0.706
Structure model	0.827	0.743
SVM TF-IDF	0.737	0.651
Fisher Kernel	0.823	0.738
Discriminant learning	<b>0.868</b>	<b>0.792</b>

WebKB

# Multimedia model

## Director Ang Lee Takes Risks with Mean Green 'Hulk'



LOS ANGELES (Reuters) - Taiwan-born director Ang Lee, perhaps best known for his Oscar-winning "Crouching Tiger, Hidden Dragon," is taking a big risk with the splashy summer popcorn flick .....

### **FAMILY DRAMA, BIG ACTION**

For loyal comic book fans who may think Lee's "Hulk" will be too touchy-feely, think again. " This is a drama, a family drama," said Lee, "but with big action." His slumping shoulders twitch and he laughs.....

	Macroaverage recall	Microaverage recall
NB	89.9 [89.2 ;90.4]	88.4 [87.7 ;89]
Structure model with text	92.5 [91.9 ;93]	92.9 [92.3 ;93.3]
Structure model with pictures	83 [82.2 ;83.7]	82.7 [81.9 ;83.4]
Structure model text and pictures	<b>93.6</b> <b>[93.1 ;94]</b>	<b>94.7</b> <b>[94.2 ;95.1]</b>

# Classification : conclusion

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- ❑ Structure model is able to handle structure and content information
- ❑ Both structure and content carry class information
- ❑ Multimedia categorization
- ❑ Not in this talk :
  - Categorization of parts of documents
  - Categorization of trees (structure only)

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# Clustering

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- The usual goal is to find groups of similar documents (in a thematic sense)
  
- The task is different for structured documents :
  - What means "similar documents" :
    - Same structure ?
    - Same content ?
    - Both
  - Open question

# Clustering

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- Mixture model :

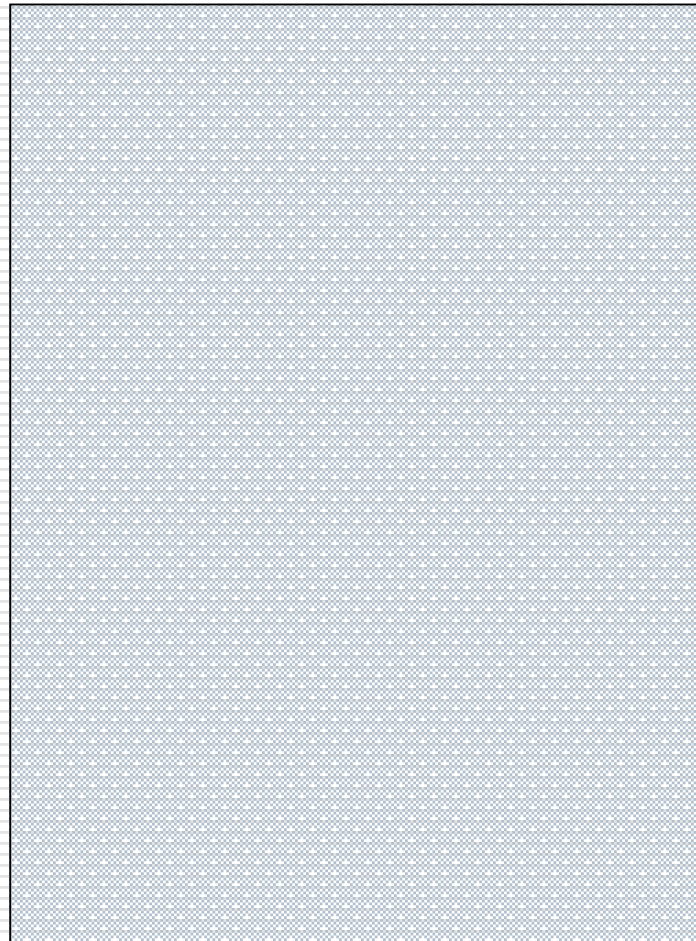
$$P(d / \Theta) = \sum_{i=1}^{|C|} \alpha_{c_i} * P(s^d / \Theta_{c_i})$$

- EM algorithm (CEM)
- Use on the structure (only) using INEX corpus

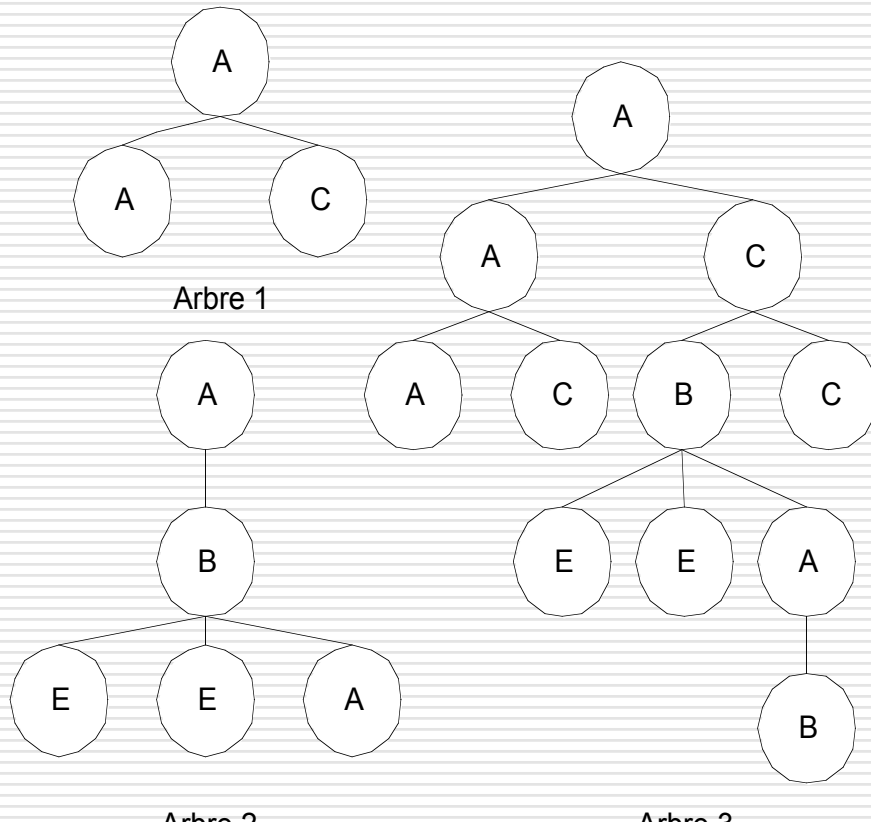


# Different models

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# The *grammar* model



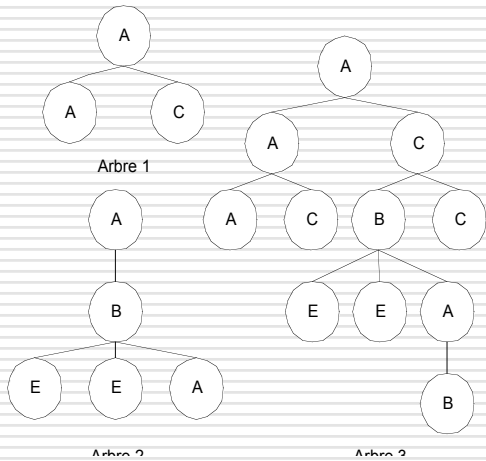
$$P(A, C / A) = \frac{3}{5}$$

$$P(B / A) = \frac{2}{5}$$

$$P(E, E, A / B) = \frac{2}{2}$$

$$P(B, C / C) = \frac{1}{1}$$

# Grammar model and DTD



$$P(A, C / A) = \frac{3}{5}$$

$$P(B / A) = \frac{2}{5}$$

$$P(E, E, A / B) = \frac{2}{2}$$

$$A \longrightarrow AC \left[ \frac{3}{5} \right]$$

$$A \longrightarrow B \left[ \frac{2}{5} \right]$$

$$B \longrightarrow EEA[1]$$

$$C \longrightarrow BC[1]$$

$$A \longrightarrow AC$$

$$A \longrightarrow B$$

$$B \longrightarrow EEA$$

$$C \longrightarrow BC$$

<!DOCTYPE A [

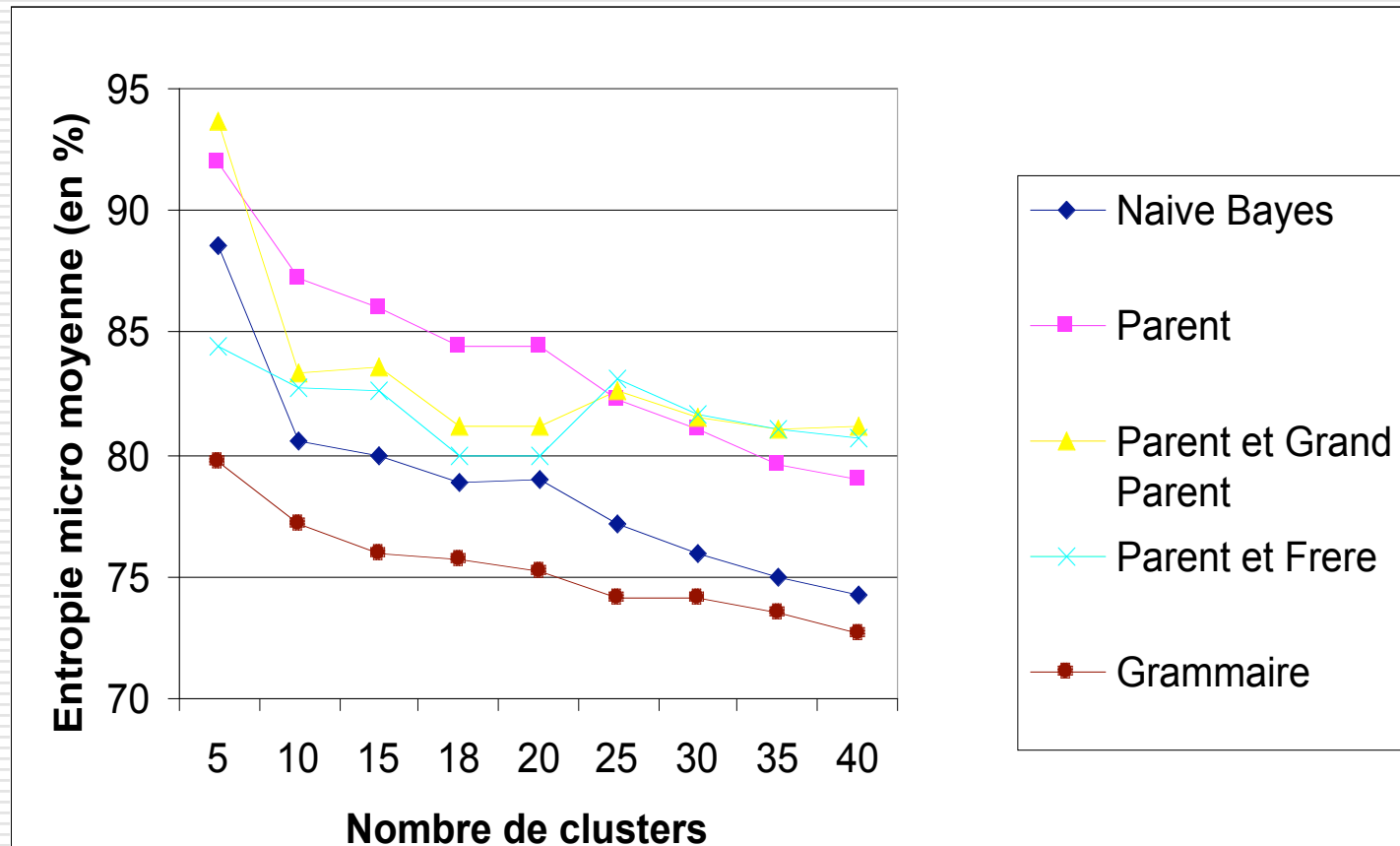
<!ELEMENT A (A,C)>

<!ELEMENT A (B) >

<!ELEMENT B (E,E,A)>

<!ELEMENT C (B,C)>]

# Clustering results



# Example of DTDs

$a \rightarrow bc$ $b \rightarrow cd$ $c \rightarrow d$ $d \rightarrow e$ $d \rightarrow a$	$a \rightarrow bc$ $a \rightarrow bcd$ $b \rightarrow cd$ $b \rightarrow cde$ $c \rightarrow d$ = [ $c \rightarrow de$ $d \rightarrow e$ $d \rightarrow a$ $d \rightarrow ab$	<div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">0.6</div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">0.4</div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 2px;"><math>a \rightarrow a</math> [0.21]</td> <td style="padding: 2px;"><math>a \rightarrow bc</math> [0.35]</td> <td style="padding: 2px;"><math>a \rightarrow aa</math> [0.34]</td> </tr> <tr> <td style="padding: 2px;"><math>a \rightarrow bc</math> [0.78]</td> <td style="padding: 2px;"><math>a \rightarrow bcd</math> [0.34]</td> <td style="padding: 2px;"><math>a \rightarrow bc</math> [0.21]</td> </tr> <tr> <td style="padding: 2px;"><math>b \rightarrow cd</math> [0.82]</td> <td style="padding: 2px;"><math>b \rightarrow cd</math> [0.33]</td> <td style="padding: 2px;"><math>a \rightarrow bcd</math> [0.21]</td> </tr> <tr> <td style="padding: 2px;"><math>c \rightarrow d</math> [0.84]</td> <td style="padding: 2px;"><math>b \rightarrow cde</math> [0.34]</td> <td style="padding: 2px;"><math>b \rightarrow cd</math> [0.33]</td> </tr> <tr> <td style="padding: 2px;"><math>d \rightarrow e</math> [0.43]</td> <td style="padding: 2px;"><math>c \rightarrow d</math> [0.33]</td> <td style="padding: 2px;"><math>b \rightarrow cde</math> [0.34]</td> </tr> <tr> <td></td> <td style="padding: 2px;"><math>c \rightarrow de</math> [0.33]</td> <td style="padding: 2px;"><math>c \rightarrow d</math> [0.31]</td> </tr> </table> <table border="1" style="width: 100%; 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# Clustering : conclusions

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- Mixture model of belief networks
- Different models
- Grammar model is better
  - Able to compute a kind of DTD
  
- Ill defined problem: clustering of XML documents ?

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- 3 problems
  - Classification
  - Clustering
  - Document restructuration
- Experiments
- Conclusion and future work
  - XML Document Mining Challenge

# Structural heterogeneity

<pre>&lt;Restaurant&gt; &lt;Nom&gt;Tokyo Bar&lt;/Nom&gt; &lt;Adresse&gt;   &lt;Ville&gt;Paris&lt;/Ville&gt;   &lt;Arrd&gt;19&lt;/Arrd&gt;   &lt;Rue&gt;Bolivar&lt;/Rue&gt;   &lt;Num&gt;127&lt;/Num&gt; &lt;/Adresse&gt; &lt;Plat&gt;Sushi&lt;/Plat&gt; &lt;Plat&gt;Sashimi&lt;/Plat&gt; &lt;/Restaurant&gt;</pre>	<pre>&lt;Restaurant&gt; &lt;Nom&gt;La cantine&lt;/Nom&gt; &lt;Adresse&gt;   65 rue des pyrénées, Paris, 19<sup>ème</sup>,   FRANCE &lt;/Adresse&gt; &lt;Spécialités&gt;   Canard à l'orange, Lapin au miel &lt;/Spécialités&gt; &lt;/Restaurant&gt;</pre>	<pre>&lt;Restaurant&gt; &lt;Nom&gt;L'olivier&lt;/Nom&gt; &lt;Description&gt;   Ce joli restaurant localisé près du   métro Jaurès, au 19 du   boulevard de la vilette, perdu   dans le 19<sup>ème</sup> arrondissement de   Paris propose une cuisine   italienne, notamment des pâtes   fraîches au 3 fromages. &lt;/Description&gt; &lt;/Restaurant&gt;</pre>
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- ❑ Problem: Query heterogeneous XML databases or collections, Storage, etc
- ❑ Needs to know the correspondence between the structured representations

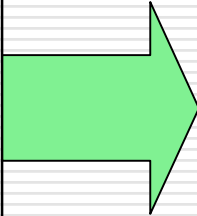


# Document mapping problem

## □ Problem

- Learn from examples how to map heterogeneous sources onto a predefined target schema
- Preserve the document semantic
- Sources: semistructured, HTML, PDF, flat text, etc

Labeled tree mapping problem

<pre>&lt;Restaurant&gt; &lt;Nom&gt;La cantine&lt;/Nom&gt; &lt;Adresse&gt;   65 rue des pyrénées, Paris, 19<sup>ème</sup>, FRANCE &lt;/Adresse&gt; &lt;Spécialités&gt;   Canard à l'orange, Lapin au miel &lt;/Spécialités&gt; &lt;/Restaurant&gt;</pre>		<pre>&lt;Restaurant&gt; &lt;Nom&gt;La cantine&lt;/Nom&gt; &lt;Adresse&gt;   &lt;Ville&gt;Paris&lt;/Vill e&gt;   &lt;Arrd&gt;19&lt;/Arrd &gt;   &lt;Rue&gt;pyrénées&lt;/ Rue&gt;   &lt;Num&gt;65&lt;/Num&gt; &lt;/Adresse&gt; &lt;Plat&gt;   Canard à l'orange &lt;/Plat&gt; &lt;Plat&gt;   Lapin au miel &lt;/Plat&gt; &lt;/Restaurant&gt;</pre>
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# Document mapping problem

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- Central issue: Complexity
  - Large collections
  - Large feature space:  $10^3$  to  $10^6$
  - Large search space (exponential)
  
- Approach
  - Learn generative models of XML target documents from a training set
  - Decoding of unknown sources according to the learned model

# Learning the correspondence via examples

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- Why using ML for structure matching ?
  - Multiple sources: variability, documents do not follow the schema, collection growth, etc
  - Web sources: DTDs, Schema are often unknown or do not exist

# Learning correspondence

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- Data centered view (Doan et al.)
  - Multiple independent classifier combination
  - Centralized (mediator) or P2P
  - 1:1 or m:n transformations
- Document centered view
  - Document conversion (Xerox)
    - rendering format (HTML, PDF, etc) -> XML predefined DTD format
  - Information retrieval (LIP6)
    - Content and structure queries (e.g. INEX)

# Problem formulation

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Given

$S_T$  a target format

$d_{\text{in}(d)}$  an input document

Find the most probable target document

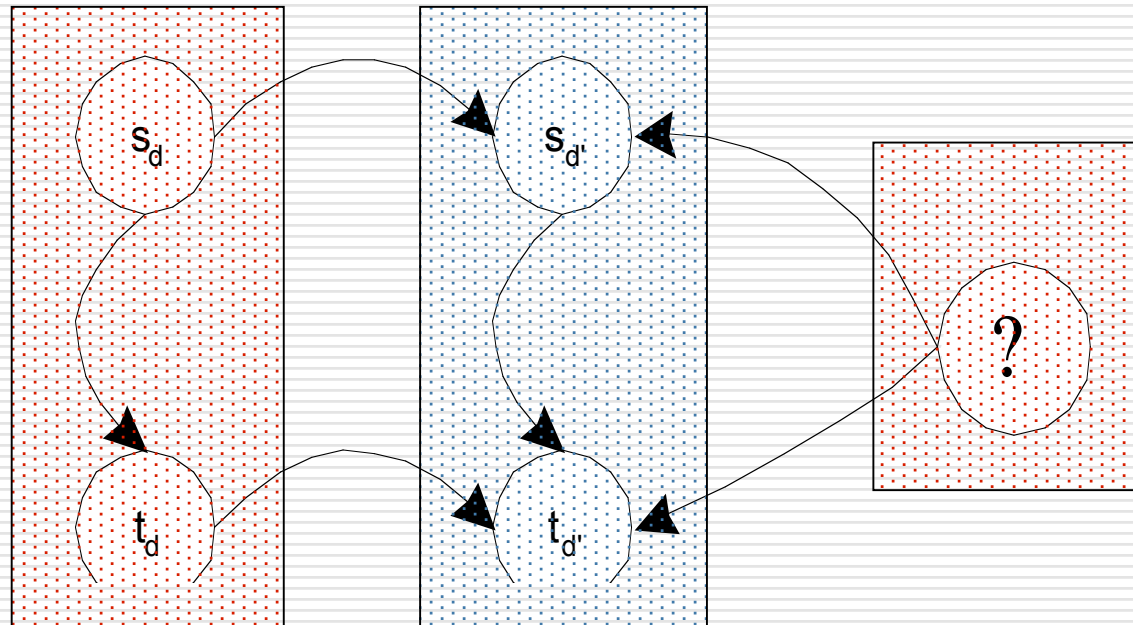
$$d_{S_T} = \arg \max_{d' \in S_T} P(d' | d_{S_{\text{in}(d)}})$$

Decoding

Learned  
transformation model

# General restructuring model

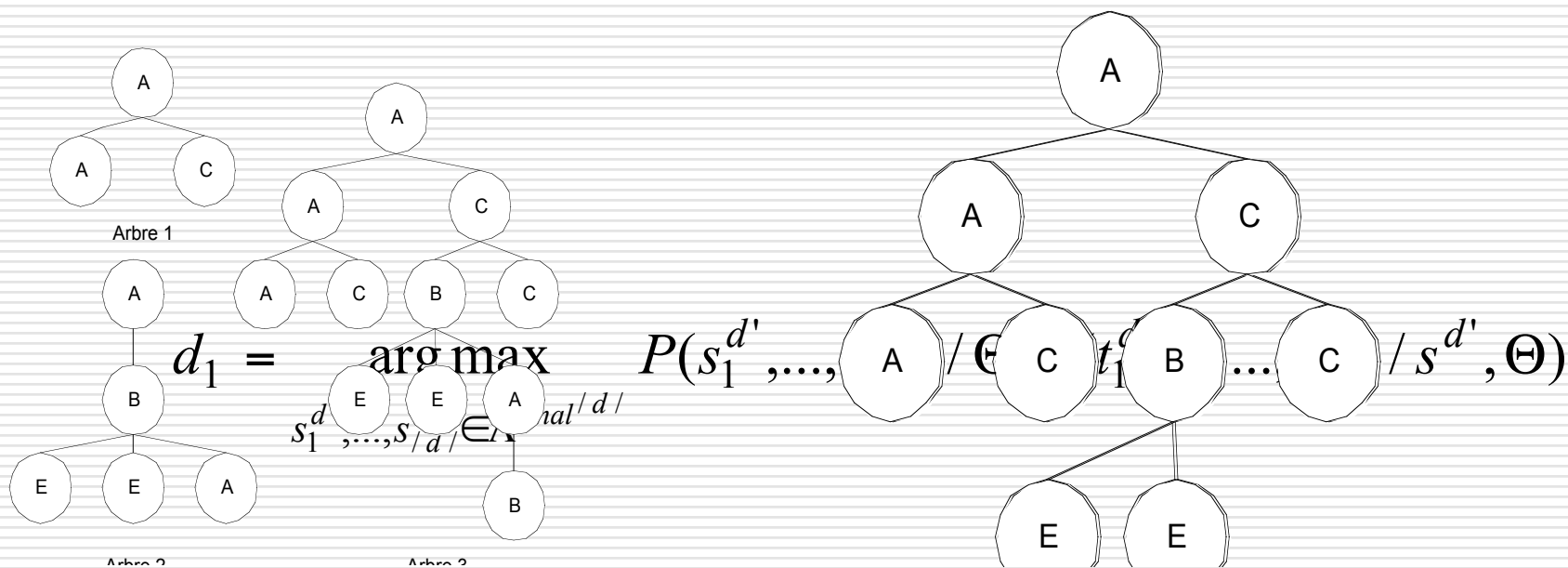
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$$d_1 = \operatorname{argmax}_{d'} P(s^{d'} / s^d, \Theta) P(t^{d'} / s^{d'}, t^d, \Theta)$$

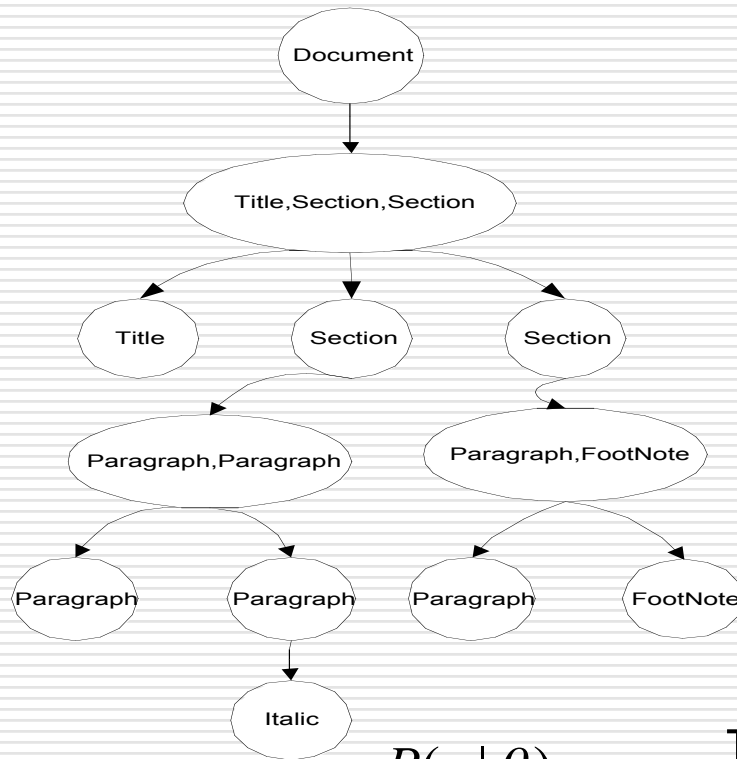
# Instance 1 : Label mapping

- Subtask of structure mapping
  - Tree structure remains unchanged
  - Learn to automatically label nodes



# Document structure model

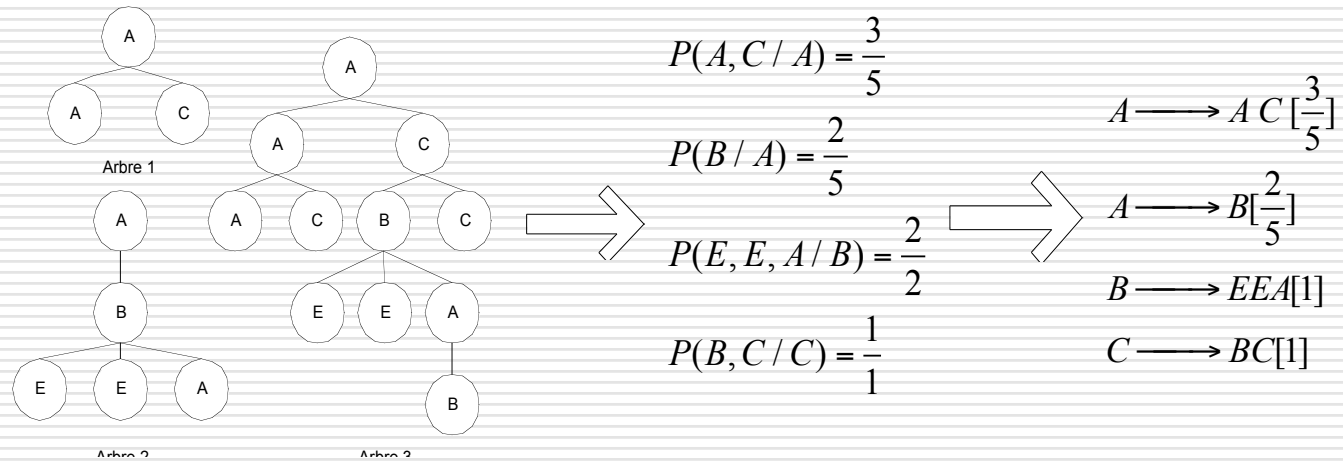
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$$P(s | \theta) = \prod_{\text{all nodes } n \text{ in } d} P(\text{childrentags}(n) | \text{tag}(n), \theta)$$

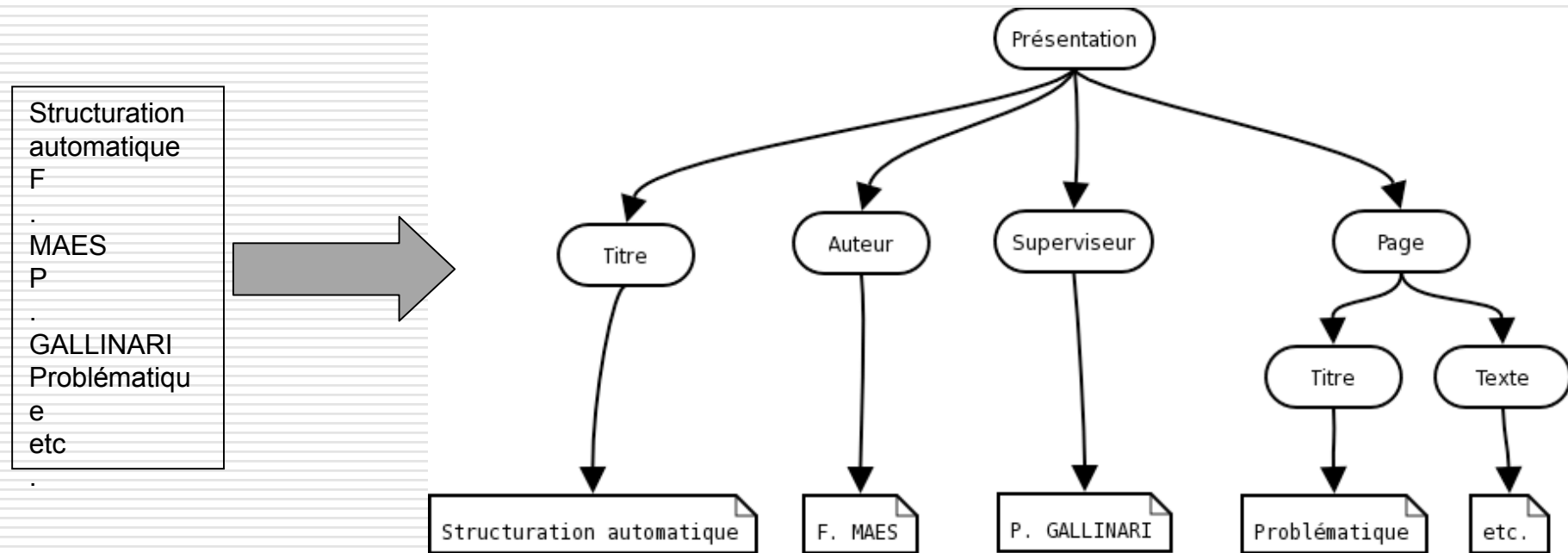


# PCFG model



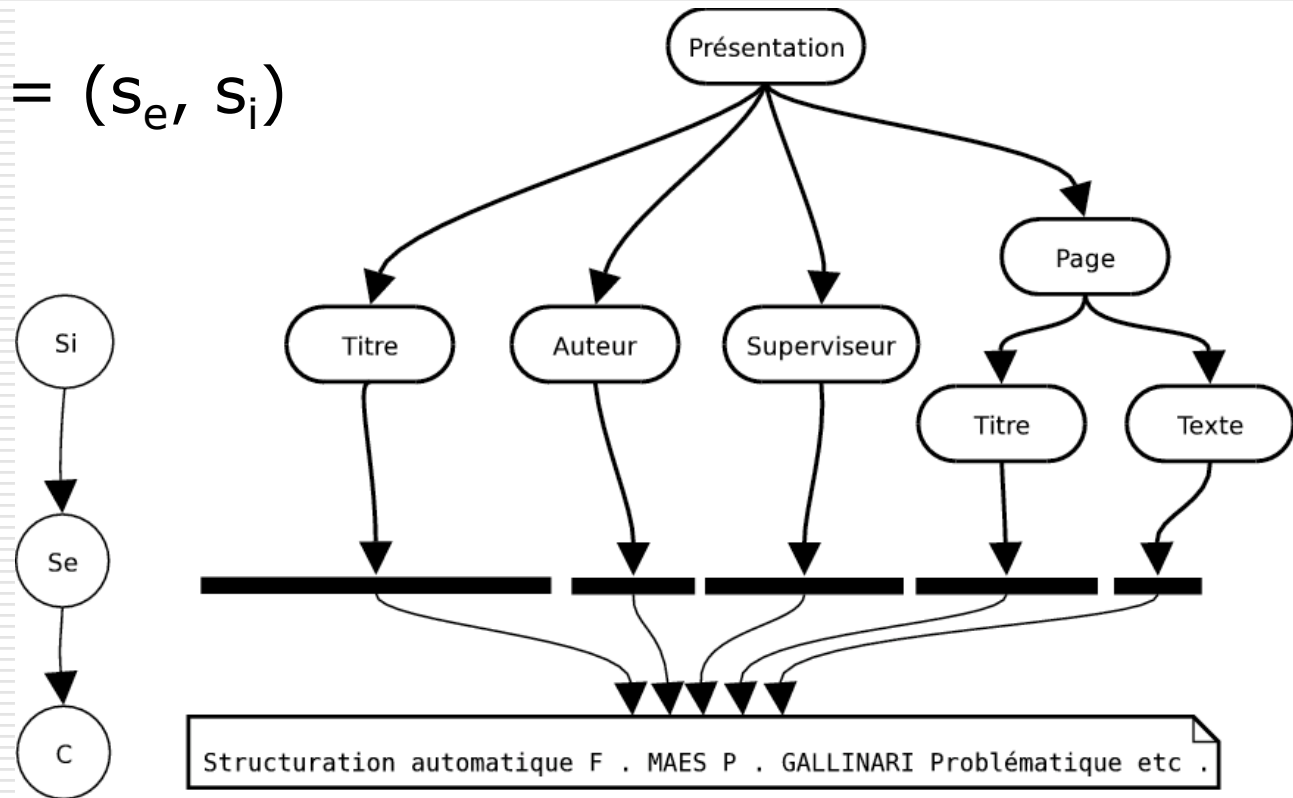
# Instance 2: plain text structuring

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# Stochastic model

$$d = (c, s) \quad s = (s_e, s_i)$$



$$s^* = \operatorname{argmax}_{(s_i, s_e) \in \mathcal{S}} \frac{P[s_i]P[s_e|s_i]P[c|s_e]}{P[c]}$$

# Sub-optimal approach

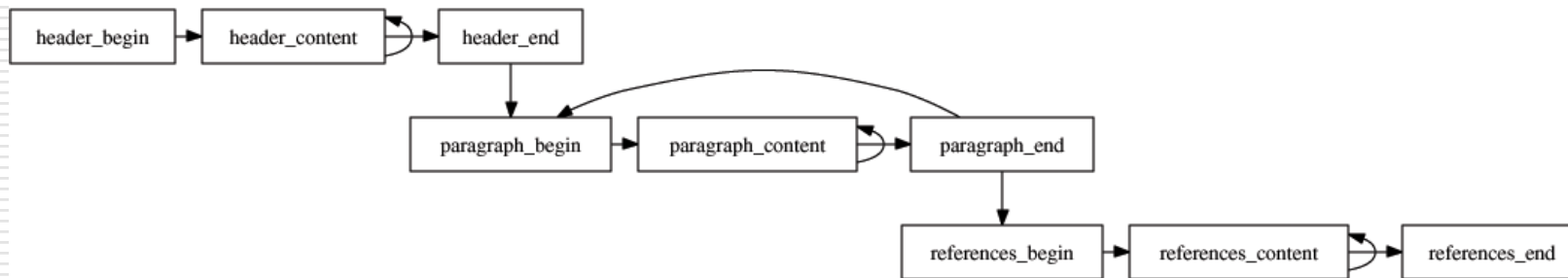
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- Segmentation and structuration are performed sequentially

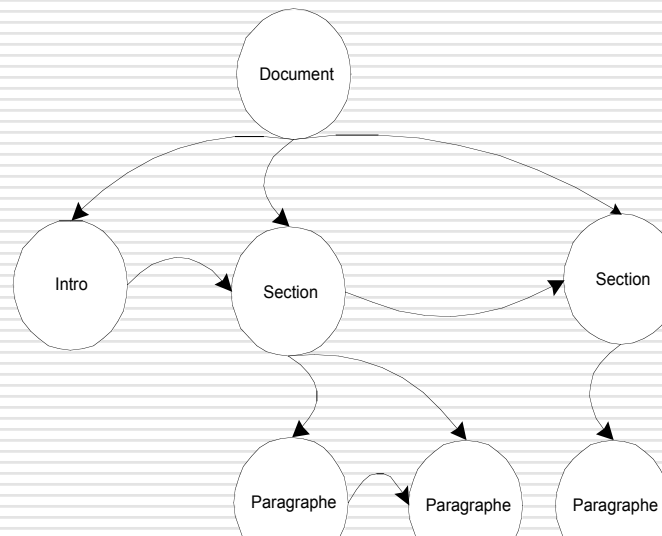
$$\begin{aligned} & \max_{(s_i, s_e) \in \mathcal{S}} \log(P[s_i] \cdot P[s_e | s_i]) + \log(P[c | s_e]) \\ \simeq & \max_{s_e \in \mathcal{S}_e} \underbrace{\log(P[c | s_e])}_{\text{Segmentation}} + \max_{s_i \in \mathcal{S}_i} \underbrace{\log(P[s_i] \cdot P[s_e | s_i])}_{\text{Structure Extraction}} \end{aligned}$$

# Models

## □ Segmentation: HMM



## □ Structure



# Instance 3 : HTML to XML

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## Hypothesis

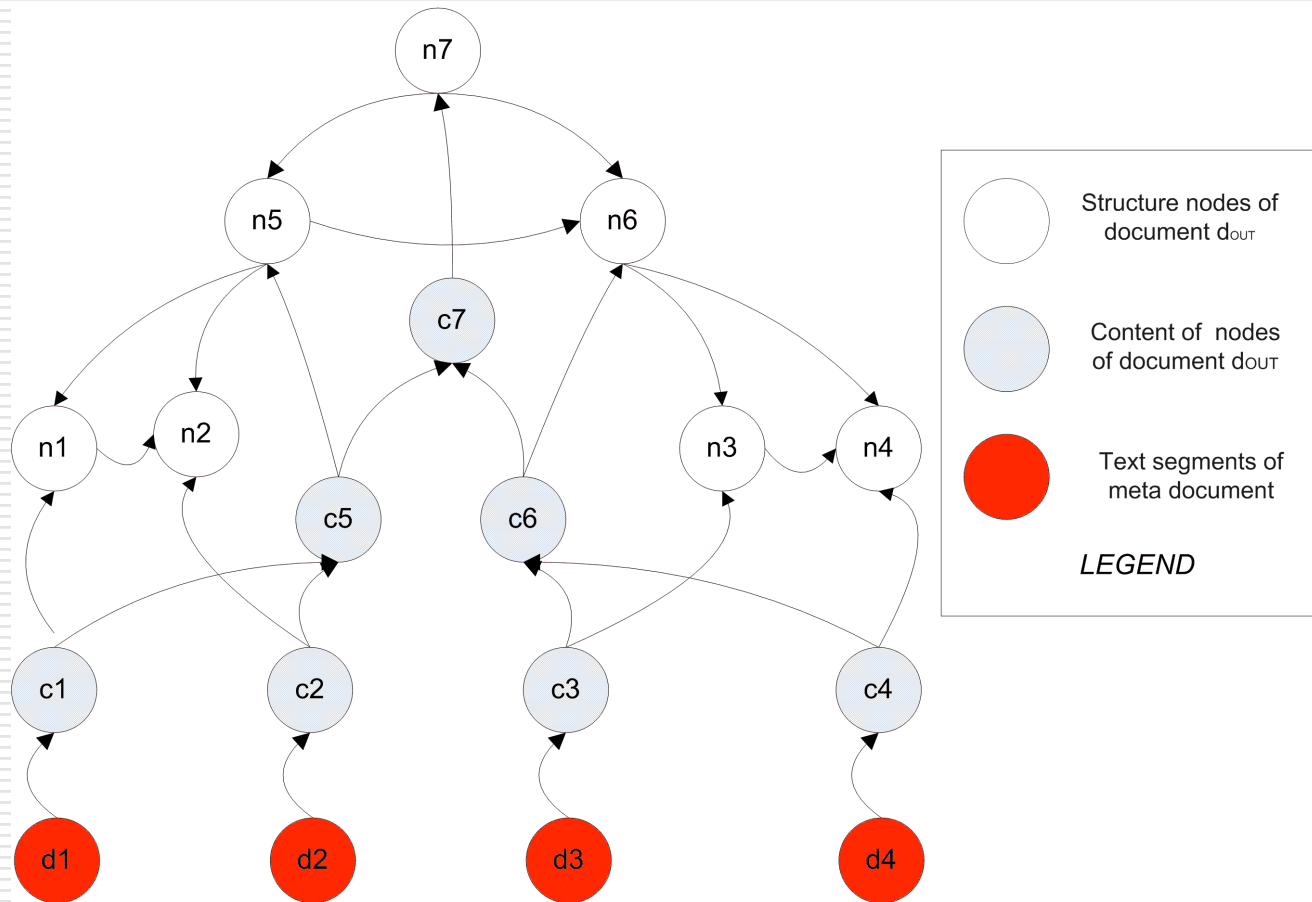
### ■ Input document

- HTML tags mostly for visualization
- Remove tags
- Keep only the segmentation (leaves)

### ■ Transformation

- Leaves are the same in the HTML and XML document
- Target document model: node label depends only on its local context
  - Context = content, left sibling, father

# Problem representation

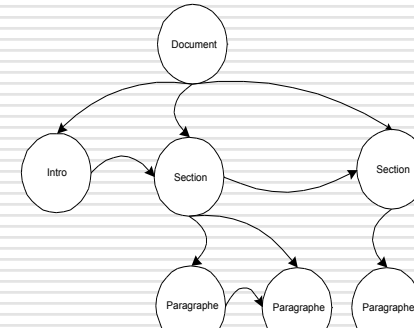


# Model and training

- Probability of target tree

$$P(d_T | d_{\text{Sin}(d)}) = P(d_T | d_1, \dots, d_{|d|})$$

$$P(d_T | d_1, \dots, d_{|d|}) = \prod_{n_i} P(n_i | c_i, \text{sib}(n_i), \text{father}(n_i))$$



- Document model : max-entropy conditional model learned from a training set of target docs

$$P(n_i | c_i, \text{sib}(n_i), \text{father}(n_i)) = \frac{1}{Z_{c_i, \text{sib}(n_i), \text{father}(n_i)}} \exp(\langle W_{n_i}, F_{c_i, \text{sib}(n_i), \text{father}(n_i)} \rangle)$$



# Decoding

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- Solve

$$d_{S_T} = \arg \max_{d \in S_T} P(d' \mid d_{S_{in}(d)})$$

$$d_{S_{FINAL}} = \underset{\substack{d_T \text{ such as} \\ (d^1, \dots, d^{|d|}) = (c_1, \dots, c_{|d|})}}{\operatorname{argmax}} \prod_{n_i \in N_{d_T}} \frac{\exp(\langle W_{n_i}, F_{c_i, \text{sib}(n_i), \text{father}(n_i)} \rangle)}{Z_{c_i, \text{sib}(n_i), \text{father}(n_i)}}$$

- Exact Dynamic Programming decoding
  - $O(|\text{Leaf nodes}|^3 \cdot |\text{tags}|)$
- Approximate solution with LASO (Hal Daume ICML 2005)
  - $O(|\text{Leaf nodes}| \cdot |\text{tags}| \cdot |\text{tree nodes}|)$

# Experiments

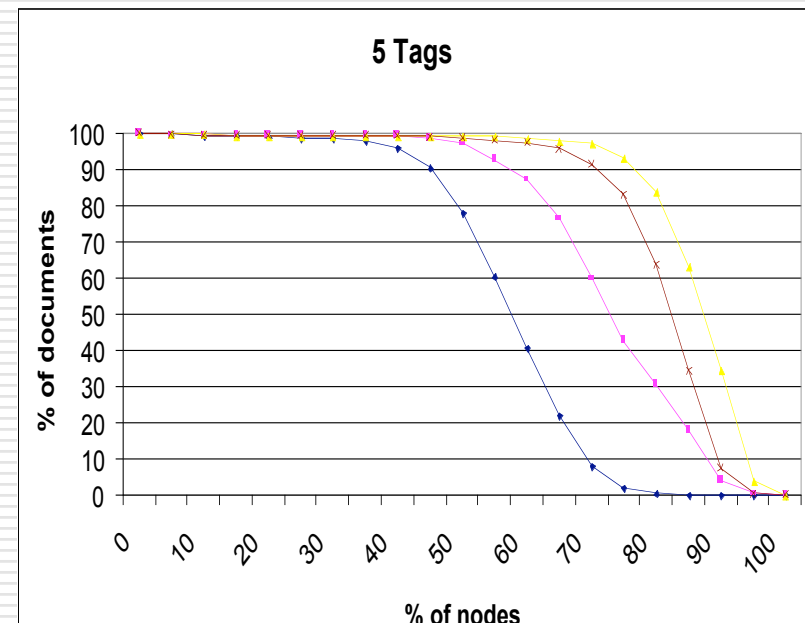
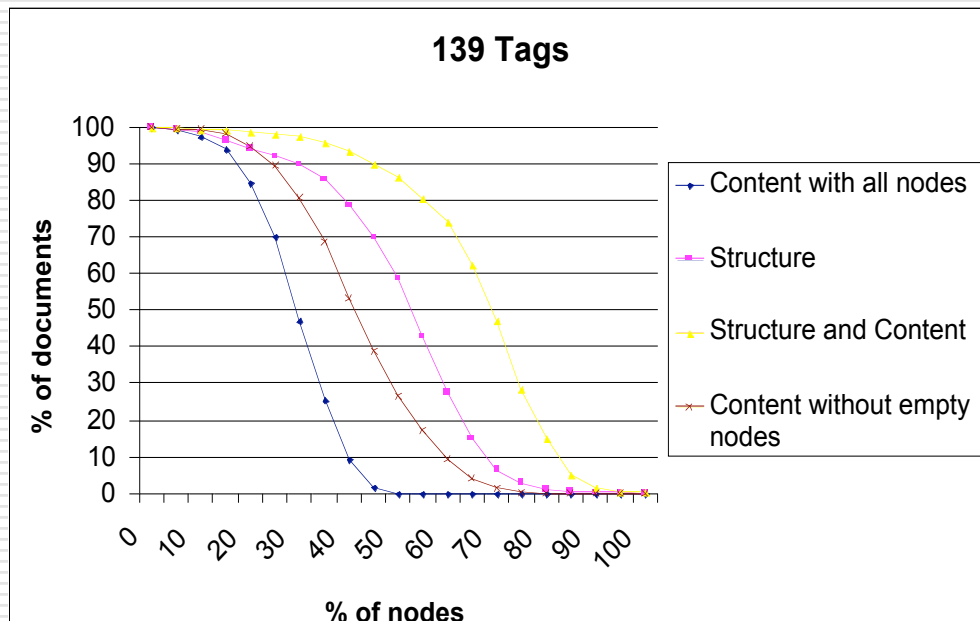
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- INEX corpus:
  - IEEE collection (XML) :
    - 12 000 documents (training : 7 800 , Test : 4 200)
    - $\approx$  5 000 000 content nodes
    - 139 tags
    - Mean document depth  $\approx$  7
    - vocabulary :  $\approx$  22 000 mots
  - test corpus :
    - *Transaction On ...series*
    - Unlabeled documents (tags removed)

# Instance 1 : Label mapping - results

	Content	Structure	Struct + Content	naïve model
5 tags	58%	72,90%	<b>86,50%</b>	79,3%
139 tags	27,80%	49,70%	<b>65,30%</b>	9,5%

# Instance 1 : IR adapted measure



# Instance 2: plain text structuring

## Results

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Models	labeling	Segmentation (leafs)	Structuration (internal nodes)
Exact + TMM	92,8 %	75,7%	31,2%
HMM + TMM	91,5%	24,6%	22,8%

- Extreme structuration instance
- Exact + TMM: degraded version of HTML documents structuration

# Instance 3 HTML to XML

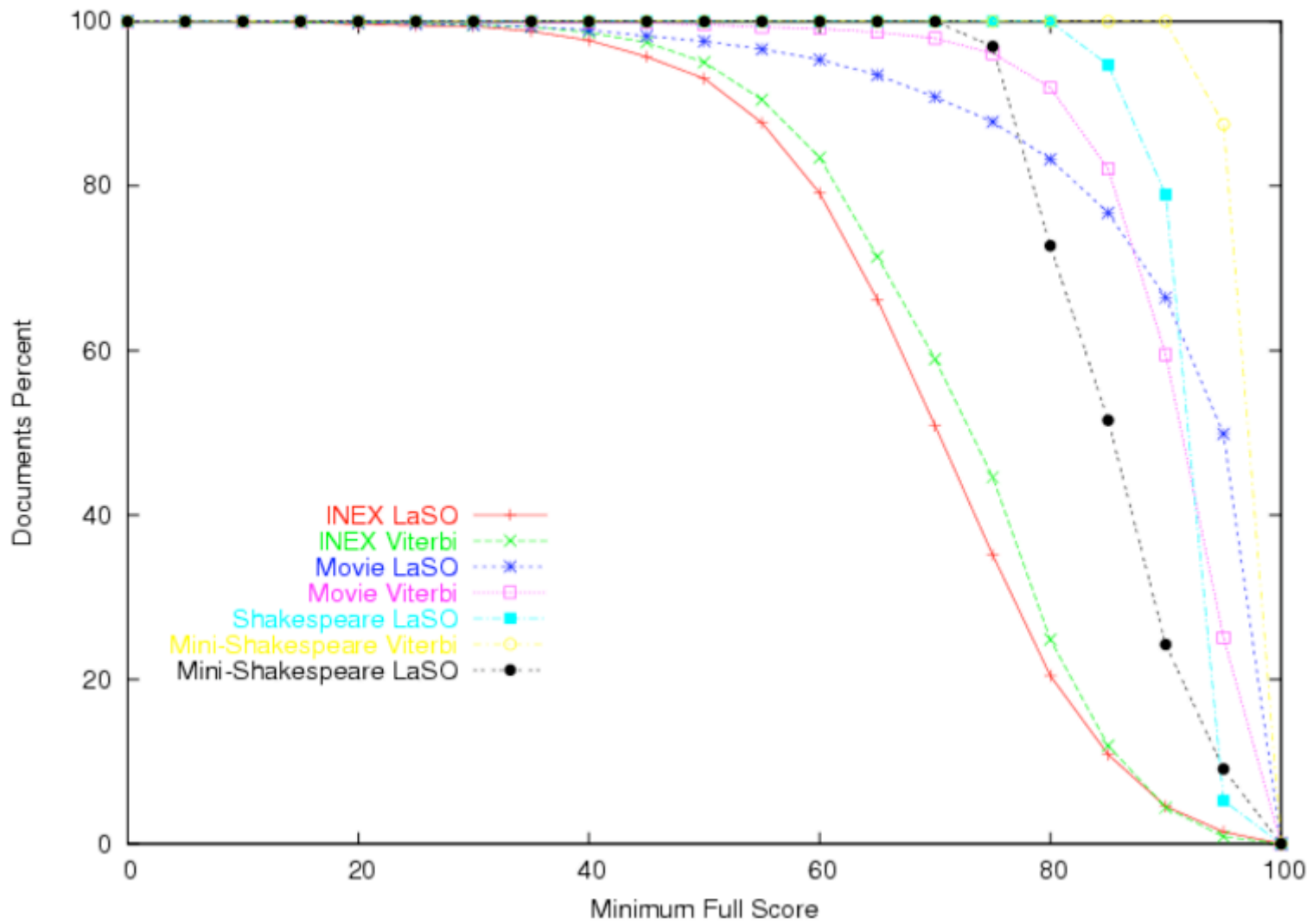
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- IEEE collection / INEX corpus
  - 12 K documents,
    - Average: 500 leaf nodes, 200 int nodes, 139 tags
- Movie DB
  - 10 K movie descriptions (IMDB)
    - Average: 100 leaf nodes, 35 int. nodes, 28 tags
- Shakespeare 39 plays
  - Few doc, but:
    - Average: 4100 leaf nodes, 850 int nodes, 21 tags
- Mini-Shakespeare
  - Randomly chosen 60 scenes from the plays
    - 85 leaf nodes, 20 int. nodes, 7 tags

# Performances

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Collection	Method	Micro	Macro	Internal	Full	Learning time	Testing time
INEX	DP	79.6%	47.5%	51.5%	70.5%	30 min	≈ 4 days
	LaSO	75.8%	42.9%	53.1%	67.5%	> 1 week	3h20min
Movie	DP	95.3%	91.2%	77.1%	90.4%	20 min	≈ 2 days
	LaSO	90.5%	88.6%	86.8%	89.6%	> 1 week	1h15min
Shakespeare	LaSO	95.3%	78.0%	77.0%	92.2%	≈ 5 days	30 min
Mini-shakespeare	DP	98.7%	95.7%	94.7%	97.9%	2 min	≈ 1 hour
	LaSO	89.4%	83.9%	63.2%	84.4%	20 min	1 min





# Conclusion

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- ❑ Document restructuring is a new problem
- ❑ Tree transformation problem of high complexity (content + structure)
- ❑ Many different instances
- ❑ Approach based on generative models of target documents

# XML Document Mining Challenge 2006

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- Challenge
  - INEX-Delos and Pascal networks of excellence
- Three tasks
  - Classification
  - Clustering
  - Document mapping
- 3 XML corpora
  - IEEE collection
  - IMDB (Movie descriptions)
  - Wikipedia in 4 languages
  - Dead line : june 2006
- Web site : <http://xmlmining.lip6.fr>
- Email : [xmlmining@lip6.fr](mailto:xmlmining@lip6.fr)